

# 3

## Module Theory

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A module is an algebraic structure on a pair of underlying sets with four binary operations. Although modules can be defined over an arbitrary ring, we will focus on modules over a commutative ring.

### 3.0 Modules

**3.0.1 Definition.** Let  $R$  be a commutative ring. An  $R$ -module is an additive abelian group  $V$  with a *scalar multiplication*  $R \times V \rightarrow V$  such that, for all  $r, s \in R$  and all  $u, v \in V$ , we have

$$\begin{aligned} r(u + v) &= ru + rv & (rs)v &= r(sv) \\ (r + s)v &= rv + sv & 1_R v &= v. \end{aligned}$$

**3.0.2 Example.** For any field  $K$ ,  $K$ -vector spaces and  $K$ -modules are equivalent notions.  $\diamond$

**3.0.3 Example.** Every abelian group is a  $\mathbb{Z}$ -module in a unique way. For any positive integer  $n$  and any element  $g$  in an abelian group  $G$ , we have  $0_{\mathbb{Z}}g = 0_G$ ,  $(-n)g = -(ng)$ , and

$$ng = \underbrace{g + g + \cdots + g}_{n \text{ summands}}. \quad \diamond$$

**3.0.4 Example.** Every ring  $R$  is a module over itself.  $\diamond$

**3.0.5 Example.** The set  $R^n$  of  $n$ -tuples of elements from  $R$  form an  $R$ -module under componentwise operations.  $\diamond$

**3.0.6 Example.** Every ideal in  $R$  is an  $R$ -module.  $\diamond$

**3.0.7 Example.** A ring homomorphism  $\varphi : R \rightarrow R'$  makes  $R'$  into an  $R$ -module. For any  $r \in R$  and any  $v \in R'$ , we have  $rv := \varphi(r)v$ .  $\diamond$

**3.0.8 Definition.** Let  $V$  and  $W$  be  $R$ -modules. A map  $\varphi : V \rightarrow W$  is an  $R$ -module homomorphism or  $R$ -linear such that, for all  $r \in R$  and all  $u, v \in V$ , we have  $\varphi(ru + v) = r\varphi(u) + \varphi(v)$ .

The composition of two  $R$ -module homomorphisms is an  $R$ -module homomorphism. The identity map on any  $R$ -module is an  $R$ -module homomorphism.

**3.0.9 Example.** For any field  $K$ , a  $K$ -module homomorphism is a linear transformation of vector spaces.  $\diamond$

**3.0.10 Example.** Every group homomorphism of abelian groups is a  $\mathbb{Z}$ -module homomorphism.  $\diamond$

**3.0.11 Example.** Let  $V$  and  $W$  be  $R$ -modules. The set of  $R$ -module homomorphisms from  $V$  to  $W$  forms an  $R$ -module  $\text{Hom}_R(V, W)$ . For all  $\varphi, \psi \in \text{Hom}_R(V, W)$ , all  $r \in R$ , and all  $v \in V$ , we have

$$(\varphi + \psi)(v) = \varphi(v) + \psi(v), \quad (r\varphi)(v) = r\varphi(v).$$

An  $R$ -module homomorphism  $\varphi : R \rightarrow V$  is uniquely determined by the image  $\varphi(1_R)$  which can be any element of  $V$ . Hence, for any  $R$ -module  $V$ , there is a canonical isomorphism  $\text{Hom}_R(R, V) \cong V$  defined by  $\varphi \mapsto \varphi(1_R)$ .  $\diamond$

**3.0.12 Example.** Given  $R$ -module homomorphisms  $\varphi : V \rightarrow V'$  and  $\psi : W \rightarrow W'$ , there are two induced  $R$ -module homomorphisms

$$\begin{aligned} \text{Hom}(\varphi, W) : \text{Hom}_R(V', W) &\rightarrow \text{Hom}_R(V, W) \\ \text{Hom}(V, \psi) : \text{Hom}_R(V, W) &\rightarrow \text{Hom}_R(V, W'), \end{aligned}$$

defined, for all  $\theta \in \text{Hom}_R(V', W)$  and all  $\vartheta \in \text{Hom}_R(V, W)$ , by  $(\text{Hom}(\varphi, W))(\theta) := \theta \circ \varphi$  and  $(\text{Hom}(V, \psi))(\vartheta) := \psi \circ \vartheta$ .  $\diamond$

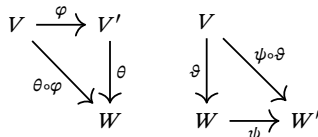


Figure 3.1: Commutative diagrams arising from Example 3.0.12

Multiplication by a fixed ring element  $f \in R$ , also called *homothety* by  $f$ , gives an  $R$ -module endomorphism defined, for all  $v \in V$ , by  $v \mapsto f v$ .

**3.0.13 Example.** For any  $R$ -module  $V$ , the composition of  $R$ -module homomorphisms defines a noncommutative ring structure on the  $R$ -module  $\text{Hom}_R(V, V)$ . The multiplicative unit is the identity map  $\text{id}_V : V \rightarrow V$ . An  $R$ -module homomorphism from  $V$  to itself is also called an *endomorphism* of  $V$  and  $\text{End}_R(V) := \text{Hom}_R(V, V)$ .  $\diamond$

**3.0.14 Proposition.** An  $R$ -module homomorphism is an isomorphism if and only if the underlying map of sets is bijective.

*Proof.*

( $\Rightarrow$ ) Suppose that the  $R$ -module homomorphism  $\varphi : V \rightarrow W$  is an isomorphism. Since the underlying map of sets has an inverse, it is a bijection.

( $\Leftarrow$ ) Suppose that underlying map  $\varphi$  is bijective. There exists a map  $\psi : W \rightarrow V$  such that  $\varphi \circ \psi = \text{id}_W$  and  $\psi \circ \varphi = \text{id}_V$ . It remains to show that this set map is an  $R$ -module homomorphism. Since  $\varphi$  is an  $R$ -module homomorphism, it follows that, for all  $r \in R$  and all  $v, w \in W$ , we have

$$\varphi(r\psi(v) + \psi(w)) = r\varphi(\psi(v)) + \varphi(\psi(w)) = r v + w$$

so  $r\psi(v) + \psi(w) \in V$  is the unique element that  $\varphi$  sends to  $r v + w$ . By definition, this implies  $\psi(r v + w) = r\psi(v) + \psi(w)$ .  $\square$

## 3.1 Isomorphism Theorems

For a third time, we have theorems describing the relations between quotients, homomorphisms, and subobjects.

**3.1.1 Definition.** A *submodule* of an  $R$ -module  $V$  is a nonempty subset  $U$  that is closed under addition and scalar multiplication. In other words, a nonempty set  $U$  is a submodule of  $V$  if and only if, for all  $r \in R$  and all  $u, v \in U$ , we have  $ru + v \in U$ .

**3.1.2 Example.** In any  $R$ -module  $V$ , the set  $\{0\}$  is a submodule. By an abuse of notation, the zero submodule is denoted  $0$ .  $\diamond$

**3.1.3 Example.** Submodules of the  $R$ -module  $R^1$  are ideals.  $\diamond$

**3.1.4 Example.** For any  $R$ -module homomorphism  $\varphi : V \rightarrow W$ , the *kernel* is defined to be  $\text{Ker}(\varphi) := \{v \in V \mid \varphi(v) = 0\}$ . For all  $r \in R$  and all  $u, v \in \text{Ker}(\varphi)$ , we have  $\varphi(ru + v) = r\varphi(u) + \varphi(v) = r0 + 0 = 0$ , so the *kernel* of  $\varphi$  is a submodule.  $\diamond$

**3.1.5 Example.** For any  $R$ -module homomorphism  $\varphi : V \rightarrow W$ , the *image* is defined to be

$$\text{Im}(\varphi) := \{w \in W \mid \text{there exists } v \in V \text{ such that } \varphi(v) = w\}.$$

For all  $v, w \in \text{Im}(\varphi)$ , there exists  $v', w' \in V$  such that  $\varphi(v') = v$  and  $\varphi(w') = w$ . Since  $\varphi(rv' + w') = r\varphi(v') + \varphi(w') = rv + w$  for all  $r \in R$ , the image of  $\varphi$  is a submodule.  $\diamond$

**3.1.6 Definition.** Let  $V$  be an  $R$ -module and let  $U$  be a submodule of  $V$ . The quotient group  $V/U$  inherits an  $R$ -module structure from  $V$  defined, for all  $r \in R$  and all  $v \in V$ , by  $r(v + U) := rv + U$ . This operation is well-defined because  $v + U = u + U$  implies that  $v - u \in U$  and  $rv - ru = r(v - u) \in U$  establishing  $rv + U = ru + U$ . The module  $V/U$  is the *quotient module*. This operation also makes the canonical map  $\pi : V \rightarrow V/U$  into an  $R$ -module homomorphism.

**3.1.7 Example.** For any ideal  $I$ , the quotient  $R/I$  is an  $R$ -module.  $\diamond$

**3.1.8 Example.** For any  $R$ -module homomorphism, the *cokernel* is defined to be  $\text{Coker}(\varphi) := W/\text{Im}(\varphi)$ .  $\diamond$

**3.1.9 Proposition.** Let  $\varphi : V \rightarrow W$  be an  $R$ -module homomorphism. For any submodules  $V' \subseteq V$  and  $W' \subseteq W$  satisfying  $\varphi(V') \subseteq W'$ , the induced map  $\bar{\varphi} : V/V' \rightarrow W/W'$  defined by  $\bar{\varphi}(v + V') := \varphi(v) + W'$  is an  $R$ -module homomorphism.

*Proof.* By Corollary 1.7.14, it suffices to verify that  $\bar{\varphi}$  is compatible with scalar multiplication. For all  $r \in R$  and all  $v \in V$ , we have

$$\begin{aligned} \bar{\varphi}(r(v + V')) &= \bar{\varphi}(rv + V') = \varphi(rv) + W' \\ &= r\varphi(v) + W' = r(\varphi(v) + W') = r\bar{\varphi}(v + V'). \quad \square \end{aligned}$$

**3.1.10 Theorem (First Isomorphism).** Let  $\varphi : V \rightarrow W$  be an  $R$ -module homomorphism with  $K := \text{Ker}(\varphi)$ . The induced map  $\tilde{\varphi} : V/K \rightarrow \text{Im}(\varphi)$  defined, for all  $v \in V$ , by  $\tilde{\varphi}(v + K) = \varphi(v)$  is an isomorphism.

*Proof.* By Theorem 1.8.1, it suffices to verify that  $\tilde{\varphi}$  is compatible with scalar multiplication. For all  $r \in R$  and all  $v \in V$ , we have

$$\begin{aligned} \tilde{\varphi}(r(v + K)) &= \varphi(rv) + K = r\varphi(v) + K \\ &= r(\varphi(v) + K) = r\tilde{\varphi}(v + K). \quad \square \end{aligned}$$

**3.1.11 Theorem (Second Isomorphism).** For two submodules  $U$  and  $W$ , there exists an  $R$ -module isomorphism  $(U + W)/W \cong U/(U \cap W)$ .

*Sketch of Proof.* It suffices to verify that the group isomorphism in Theorem 1.8.5 is compatible with scalar multiplication.  $\square$

**3.1.12 Theorem (Third Isomorphism).** Let  $V$  be an  $R$ -module. For any two submodules  $U$  and  $W$  of  $V$  satisfying  $U \subseteq W$ , there is an  $R$ -module isomorphism  $V/W \cong (V/U)/(W/U)$ .

*Sketch of Proof.* It suffices to verify that the group isomorphism in Theorem 1.8.6 is compatible with scalar multiplication.  $\square$

Compare with Theorem 1.8.7 and Theorem 3.1.13.

**3.1.13 Theorem (Correspondence).** Let  $U$  be a submodule of the an  $R$ -module  $V$ . The canonical  $R$ -module homomorphism  $\pi : V \rightarrow V/U$  induces a bijection between the set of all submodules of  $V$  containing  $U$  and the set of all submodules of  $V/U$ .  $\square$

Compare with Lemma 1.2.7 and Lemma 3.1.14.

**3.1.14 Lemma.** For any family  $\{U_j \mid j \in J\}$  of submodules in an  $R$ -module  $V$ , the intersection  $U := \bigcap_{j \in J} U_j$  is also a submodule of  $V$ .  $\square$

**3.1.15 Definition.** For any subset  $U$  of an  $R$ -module  $V$ , the *submodule of  $V$  generated by  $U$* , denoted by  $\langle U \rangle$ , is the intersection of all submodules of  $V$  that contain  $U$ . A module  $V$  is *finitely generated* if  $V = \langle U \rangle$  for some finite subset  $U \subseteq V$ . Moreover, the module  $V$  is *cyclic* if  $V = \langle U \rangle$  for some subset  $U$  having cardinality 1.

**3.1.16 Example.** For any ring  $R$  and any ideal  $I$  in  $R$ , the  $R$ -modules  $R^1$  and  $R/I$  are cyclic, generated by their respective multiplicative identity elements.  $\diamond$

**3.1.17 Definition.** The *annihilator* of an  $R$ -module  $V$  is

$$\text{Ann}(V) := \{f \in R \mid f v = 0 \text{ for all } v \in V\}.$$

Since  $(rf + g)v = r(fv) + (gv) = r0 + 0 = 0$  for all  $r \in R$  and all  $f, g \in \text{Ann}(V)$ , the annihilator of  $V$  is an ideal in  $R$ .

**3.1.18 Proposition.** For any cyclic  $R$ -module  $V$ , we have  $V \cong R/\text{Ann}(V)$ .

*Sketch of Proof.* There exists  $v \in V$  such that  $V = \langle v \rangle$ . The map  $\varphi : R \rightarrow V$ , defined by  $\varphi(r) := rv$ , is a surjective  $R$ -module homomorphism with  $\text{Ker}(\varphi) = \text{Ann}(V)$ .  $\square$