

**Hurwitz Spaces of Genus 2**  
**Covers of an Elliptic Curve**

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# Hurwitz Spaces of Genus 2 Covers of an Elliptic Curve

## 1. Introduction

**Recall:** If  $X$  is a compact Riemann surface, then

$$H(X, N, w) := \{(\text{equiv. cl. of}) \ N\text{-sheeted coverings} \\ \text{of } X \text{ with } w \text{ branch points}\} / \simeq$$

has the structure of a (not nec. connected) complex manifold (Hurwitz, 1891).

**Theorem A (Hurwitz, 1891):** R.E.T  $\Rightarrow$

- (a)  $H^{simple}(\mathbb{P}^1, N, w)$  is a connected manifold.
- (b) The discriminant map  $\delta : H^{simple}(\mathbb{P}^1, N, w) \rightarrow (\mathbb{P}^1)^{(w)} \setminus \Delta_w$  is finite and etale.

**Theorem B (Fulton, 1969):**  $\exists$  fine moduli space  $H = H^{simple}(\mathbb{P}^1, N, w) / \mathbb{Z}$  whose fibres  $H_k/k$  satisfy (a) and (b) if (and only if)  $\text{char}(k) \nmid N!$ .

**Fried, Völklein, Harbater, Wevers, . . . :** studied moduli spaces of other types of covers  $/\mathbb{P}^1$ .

**Aim:** Study analogues of these results in the case that  $X = E$  is an elliptic curve (and  $w = 2$ ).

## 2. Normalized Genus 2 Covers

**Reference:** E.K., Hurwitz spaces of genus 2 covers, IEM Preprint No. 9 (2001), IEM Essen.

(See also [www.mast.queensu.ca/~kani](http://www.mast.queensu.ca/~kani).)

Let  $E/K$  be an elliptic curve over a field  $K$  of char  $\neq 2$ ,  
 $E[2]^\# = P_1 + P_2 + P_3$ , if  $E[2] = \{0_E, E_1, E_2, E_3\}$ ,  
 $N \geq 3$  an integer with  $(\text{char}(K), N) = 1$ ,  
 $f : C \rightarrow E$  a genus 2 cover of  $E/K$  of degree  $N$ ,  
 $\sigma_C \in \text{Aut}(C)$ , the hyperelliptic involution of  $C$ ,  
 $\pi_C : C \rightarrow \langle \sigma_C \rangle \backslash C \simeq \mathbb{P}^1$ , the hyperelliptic cover,  
 $W_C = \text{Diff}(\pi_C)$ , the hyperelliptic divisor of  $C$ .

**Definition.** 1)  $f : C \rightarrow E$  is said to be minimal if  $f$  does not factor over an isogeny of  $E$ .

2)  $f$  is normalized if it is minimal and if the norm (or direct image) of  $W_C$  has the form

$$f_*(W_C) = 3[0_E] + E[2]^\#, \text{ resp. } f_*(W_C) = 2E[2]^\#,$$

if  $N = \deg(f)$  is odd or even, respectively.

**Notes:** 1) If  $f : C \rightarrow E$  is minimal, then  $\exists! x \in E(K)$  such that  $T_x \circ f : C \rightarrow E$  is normalized.

2) If  $f$  is normalized, then  $f \circ \sigma_C = [-1]_E \circ f$ .

3)  $(f : C \rightarrow E) \rightsquigarrow (\bar{f} : \mathbb{P}^1 \rightarrow \mathbb{P}^1) \rightarrow \text{Frey/K./Völklein}$

### 3. Results

For any extension field  $L/K$  put

$$\mathcal{H}_{E/K,N}(L) = \{f : C \rightarrow E_L \text{ norm. genus 2 cover}\} / \simeq.$$

The assignment  $L \mapsto \mathcal{H}_{E/K,N}(L)$  naturally extends to all  $K$ -schemes to define a **Hurwitz functor**

$$\mathcal{H}_{E/K,N} : \underline{\text{Sch}}/K \rightarrow \underline{\text{Sets}}.$$

**Theorem 1:** The functor  $\mathcal{H}_{E/K,N}$  is **finely** represented by a **smooth, affine and geometrically connected curve**  $H_{E/K,N}/K$ . More precisely,  $H_{E/K,N}$  is an open subscheme of a certain twist  $X_{E/K,N,-1}$  of the **modular curve**  $X(N)$  of level  $N$ . In particular,

$$H_{E/K,N} \otimes \overline{K} \stackrel{\text{open}}{\subset} X(N)_{/\overline{K}},$$

i.e.  $D_{E/K,N} := X(N)_{/\overline{K}} \setminus H_{E/K,N} \otimes \overline{K}$  is a **finite** set (called the **degeneracy locus**).

**Remarks:** 1) A similar statement holds for **families** of elliptic curves  $E/S$ ,  $S$  any scheme (with  $\frac{1}{2N} \in S$ .)

2) If  $K$  is a **number field**, then **Th. 1** and **Faltings' theorem** show that  $\#H_{E/K,N}(K) < \infty$  for  $N \geq 7$  (because then  $g_{X(N)} \geq 2$ ).

**Theorem 2:** We have

$$D_{E/K,N} \leq \frac{1}{24}(5N - 6)\#\mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z}),$$

and equality holds if and only if  $\mathrm{char}(K) \nmid N!$ .

– **reinterpretation** of results of **Crelle J. 485 (1997)**  
+ **J. No. Th. 64 (1997)**.

**Theorem 3:** The assignment  $(C \xrightarrow{f} E) \mapsto \mathrm{Disc}(f)$  is represented by a **quasi-finite** morphism

$$\delta = \delta_{E/K,N} : H_{E/K,N} \rightarrow \mathbb{P}_K^1.$$

Furthermore, if  $\mathrm{char}(K) \nmid N!$ , then  $\delta$  is **finite** and **etale** outside of  $\pi_E(E[2]) \subset \mathbb{P}^1$ .

**Theorem 4:** If  $\mathrm{char}(K) \nmid N!$ , then

$$\mathrm{deg}(\delta_{E/K,N}) = \frac{1}{12}(N - 1)\#\mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z}).$$

**Remark:** This degree can be viewed as a **measure of non-rigidity** of coverings ( $\rightarrow$  **Völklein**). Indeed, if

$$\mathrm{Cov}_{E,N,\bar{P}} := \{f \in \mathcal{H}_{E/K,N}(\bar{K}) : \mathrm{Disc}(f) = \pi_E^* \bar{P}\},$$

where  $\bar{P} \in \mathbb{P}^1(\bar{K})$ , then we have:

**Corollary:** If  $\bar{P} \in \mathbb{P}^1(\bar{K}) \setminus \pi_E(E[2])$ , then

$$\mathrm{Cov}_{E,N,\bar{P}} = \frac{1}{12}(N - 1)\#\mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z}).$$

**Note:** 1) This number and/or degree is closely connected with the total (weighted) number of genus 2 covers of an elliptic curve  $E/\overline{K}$  with fixed (generic) discriminant  $D$  (where  $\deg(D) = 2$ ). For  $K = \mathbb{C}$ , this (total) number can be computed by using mirror symmetry (cf. Dijkgraaf, Mazur).

2) Since  $\delta$  is ramified at the points  $\overline{P} \in \pi_E(E[2])$ , it is natural to count the points  $P_x \in \text{Cov}_{E,N,\overline{P}}$  according to their ramification degrees, i.e.

$$\deg(\text{Cov}_{E,N,\overline{P}}) := \sum_{P_x} e_\delta(P_x).$$

We then obtain:

**Theorem 5:** If  $\text{char}(K) \nmid N!$  and  $N$  is odd, then for  $\overline{P} \in \pi_E(E[2])$  we have

$$\deg(\text{Cov}_{E,N,\overline{P}}) = \begin{cases} \frac{3}{16N}(N-1)sl(N) & \text{if } \overline{P} = \overline{0}_E \\ \frac{1}{16N}(N-1)sl(N) & \text{otherwise} \end{cases}$$

where  $sl(N) = \#\text{SL}_2(\mathbb{Z}/N\mathbb{Z})$  and  $\overline{0}_E = \pi_E(0_E)$ .

## 4. The Basic Construction

**Reference:** Frey/K., Curves of genus 2 covering elliptic curves ... (Texel Conference, 1989)

**Given:**

$$\begin{array}{ccc}
 C & & C \\
 f \downarrow \rightsquigarrow & \swarrow & \searrow \\
 E & & E^\perp
 \end{array}
 \rightsquigarrow \psi : E[N] \xrightarrow{\sim} E^\perp[N].$$

(via the duality theory of  $J_C$ .)

**Conversely:** given anti-isometry  $\psi : E[N] \rightarrow E'[N]$ , one can recover a (normalized) genus 2 cover

$$f_\psi : C_\psi \rightarrow E.$$

**However:** the curve  $C_\psi$  may be reducible!

$$\Rightarrow H_{E/K,N} \subset X_{E/K,N,-1}.$$

**Note:** 1) The moduli space  $X_{E/K,N,-1}$  classifies pairs  $(E', \psi)$ , where  $\psi : E[N] \rightarrow E'[N]$  is an anti-isometry.  
 2) This construction also works for families! (Cf. E.K., Hurwitz spaces ...).

## 5. Study of Degenerations

Let  $H = H_{E/\overline{K}, N}$  denote the moduli space,  
 $f_H : \mathcal{C}_H \rightarrow E_H = E \times_{\overline{K}} H$  the universal cover,  
 $X = X(N) \supset H$  the natural compactification,  
 $\mathcal{C}/X$  the minimal model of the generic fibre of  $\mathcal{C}_H$ .

**Facts.** 1) The fibres of  $\mathcal{C}/X$  are semi-stable.

2)  $f_H$  extends to a morphism  $f = f_X : \mathcal{C} \rightarrow E_X$  which is finite if and only if  $\text{char}(K) \nmid N!$ .

**Theorem 6:** Suppose  $\text{char}(K) \nmid N!$ . Then:

(a) The fibres  $\mathcal{C}_x$  of  $\mathcal{C}/X$  are stable curves with at most one singularity.

(b)  $\mathcal{C}_x$  is singular if and only if  $x \in D_{E/\overline{K}, N} = X_\infty \dot{\cup} X_1$ , where  $X_\infty$  is the set of cusps of  $X$ . (Note that  $\#X_\infty = sl(N)/N$ .)

(c) If  $x \in X_\infty$ , then  $\mathcal{C}_x$  is an irreducible curve whose normalization is a curve of genus 1.

(d) If  $x \in X_1$ , then  $\mathcal{C}_x = E_{x,1} \cup E_{x,2}$  is the union of two curves of genus 1 which meet transversely in a unique point  $P_x$ .

## 6. Calculation of Intersection Numbers

**Notation:** Let  $f_F : \mathcal{C}_F \rightarrow E_F$  denote the **generic cover** over  $F = \kappa(X)$ , and let  $D$  and  $W$  denote the **closures** (in  $\mathcal{C}$ ) of the **different divisor**  $D_F = \text{Diff}(f_F)$  and of the **hyperelliptic divisor**  $W_{\mathcal{C}_F}$  on  $\mathcal{C}_F$ .

**Theorem 7:** (a) The **modular height** of  $\mathcal{C}/X$  is  $h_{\mathcal{C}/X} = \frac{1}{24}sl(N)$  and the **self-intersection number** of the **relative dualizing sheaf**  $\omega_{\mathcal{C}/X}^0$  is given by

$$(\omega_{\mathcal{C}/X}^0)^2 = \frac{7}{5}\#X_1 + \frac{1}{5}\#X_\infty = \frac{1}{24N}(7N - 6)sl(N).$$

(b)  $D$  is an **irreducible** curve on  $\mathcal{C}$  which represents the **dualizing sheaf**:  $\omega_{\mathcal{C}/\mathcal{C}}^0 \sim D$ .

(c) If  $q_1 = pr_1 \circ f|_D : D \rightarrow E$  and  $q_2 = pr_2 \circ f|_D : D \rightarrow X$ , then  $\pi_E \circ q_1 = \bar{\delta}_{E,N} \circ q_2$ , where  $\bar{\delta} : X \rightarrow \mathbb{P}^1$  is the unique extension of  $\delta : H \rightarrow \mathbb{P}^1$ . Thus

$$\deg(\bar{\delta}) = \deg(q_1) = (\omega_{\mathcal{C}/X}^0 \cdot f^*(P \times X)).$$

(d) We have  $6D \sim 2W + f^*(E \times A)$ , for some  $A \in \text{Div}(X)$ , and hence

$$\deg(q_1) = \frac{N}{6} \deg(A) = \frac{N}{36}(9(\omega_{\mathcal{C}/X}^0)^2 - W^2).$$