

# Simple geometrically split abelian surfaces over finite fields

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## 1 Introduction

In the search to find curves which are suitable for cryptography, several authors have studied genus 2 curves  $C/\mathbb{F}_q$  whose Jacobians have the property of the title of this paper, i.e. the Jacobian  $J_C$  of  $C/\mathbb{F}_q$  is  $\mathbb{F}_q$ -simple but  $J_C \otimes \overline{\mathbb{F}}_q$  is isogenous to a product surface, where  $\overline{\mathbb{F}}_q$  denotes the algebraic closure of  $\mathbb{F}_q$ . For example, Satoh[10] considered the *Legendre curves*  $y^2 = x^5 + ux^3 + vx$  which generalize those studied by Furukawa, Kawazoe and Takahashi[4] (where  $u = 0$ ).

A natural question in this connection is to try to classify all abelian surfaces  $A/\mathbb{F}_q$  which are simple but geometrically split. Our first result is the following.

**Theorem 1.** *Let  $A/\mathbb{F}_q$  be a simple, non-supersingular abelian surface. Then  $A \otimes \mathbb{F}_{q^n}$  splits for some  $n > 1$  if and only if  $A$  is ordinary and there is an integer  $c$  such that*

$$(1) \quad \mathrm{tr}_A^2 = c(s_A - cq + 2q) \quad \text{with} \quad 0 \leq c \leq 3,$$

where  $s_A$  is the coefficient of the  $X^2$ -term of the characteristic polynomial  $h_A(X)$  of  $A/\mathbb{F}_q$ . If this is the case, then  $A \otimes \mathbb{F}_{q^n} \sim E_n^2$ , where  $n = c + 2$  for  $c < 3$  and  $n = 6$  for  $c = 3$ , and the isogeny class of  $E_n/\mathbb{F}_{q^n}$  is determined by the formula

$$(2) \quad -\mathrm{tr}_{E_n} = \begin{cases} s_A, & \text{if } n = 2 \\ \mathrm{tr}_A^3 - 3q \mathrm{tr}_A, & \text{if } n = 3 \\ (\frac{\mathrm{tr}_A^2}{2} - 2q)^2 - 2q^2, & \text{if } n = 4 \\ \frac{\mathrm{tr}_A^2}{3} (\frac{\mathrm{tr}_A^2}{3} - 3q)^2 - 2q^3, & \text{if } n = 6. \end{cases}$$

Except for the compact formulation of condition (1), the first part of this theorem was proved by Maisner and Nart[7] and by Howe and Zhu[6] in the ordinary case. Since the proof of [7] is not entirely self-contained (cf. Remark 4), we present here a more direct proof in section 2.

In the next section we show that for each  $n \in \{2, 3, 4, 6\}$  there exists a simple abelian surface  $A/\mathbb{F}_q$  such that  $A \otimes \mathbb{F}_{q^n} \sim E^2$ ; cf. Theorem 15. A variant of this is the following result.

**Theorem 2.** *Let  $E/\mathbb{F}_{q^n}$  be an ordinary elliptic curve with trace  $\text{tr}_E$ . If  $n \in \{2, 3, 4, 6\}$  and if there is an integer  $t \in \mathbb{Z}$  such that*

$$(3) \quad -\text{tr}_E = \begin{cases} t^3 - 3qt, & \text{if } n = 3 \\ \left(\frac{t^2}{2} - 2q\right)^2 - 2q^2, & \text{if } n = 4 \\ \frac{t^2}{3} \left(\frac{t^2}{3} - 3q\right)^2 - 2q^3 \text{ and } 3|t, & \text{if } n = 6, \end{cases}$$

*then there exists an abelian surface  $A/\mathbb{F}_q$  such that  $A \otimes_{\mathbb{F}_q} \mathbb{F}_{q^n} \sim E^2$ . Moreover, if  $n > 2$ , then  $A/\mathbb{F}_q$  can be chosen such that  $\text{tr}_A = t$ . Furthermore,  $A$  is simple if and only if  $\delta$  is not a square in  $\mathbb{Z}$ , where*

$$(4) \quad \delta := \begin{cases} 8q + 4\text{tr}_E & \text{if } n = 2 \\ 12q - 3t^2 & \text{if } n = 3 \\ 8q - t^2 & \text{if } n = 4 \\ 4q - \frac{t^2}{3} & \text{if } n = 6. \end{cases}$$

Note that in the case  $n = 2$  the above theorem does not require any hypothesis on  $\text{tr}_E$ . One reason for this is that in this case we can always take  $A$  to be the Weil restriction  $\text{Res}_{\mathbb{F}_q^2/\mathbb{F}_q}(E)$  of  $E/\mathbb{F}_{q^2}$ . In fact, for every  $n \in \{2, 3, 4, 6\}$  there is a connection between the Weil restriction of  $E/\mathbb{F}_{q^n}$  with respect to  $\mathbb{F}_{q^n}/\mathbb{F}_q$  and the abelian surface  $A$ . This connection is explained in section 4, where we re-interpret condition (3) in terms of the existence of elliptic curves with suitable properties; cf. Propositions 25 – 27.

In the last section we apply our results to the Jacobians  $J_{u,v}/\mathbb{F}_q$  defined by genus 2 curves

$$C_{u,v}/\mathbb{F}_q : \quad y^2 = x^5 + ux^3 + vx$$

which were studied by Legendre (1832) and Satoh[10]. As Satoh showed, we have that  $J_{u,v} \otimes \mathbb{F}_{q^4} \sim E^2$ , for some (explicit) elliptic curve  $E/\mathbb{F}_{q^4}$ , and in Theorem 28 we use our previous results to determine when  $J_{u,v}$  splits already over  $\mathbb{F}_q^2$ . This allows us to simplify Satoh's algorithm for determining (the largest prime factor of)  $|J_{u,v}(\mathbb{F}_q)|$ ; cf. Algorithm 33. As a result, the algorithm now runs in deterministic polynomial time in place of Satoh's probabilistic polynomial time. Moreover, in place of considering Satoh's 26 possibilities for the group order, we only have to consider 2 possibilities.

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## 2 Classification theorems

In this section we classify the simple abelian surfaces  $A/\mathbb{F}_q$  which are geometrically split. As was indicated in the introduction, this classification will be given in terms of

properties of the coefficients  $\text{tr}_A$  and  $s_A$  of the characteristic polynomial  $h_A(X) \in \mathbb{Z}[X]$  of (the Frobenius endomorphism  $\pi_A$  of)  $A/\mathbb{F}_q$ . A first step towards this classification is the following result.

**Theorem 3.** *Let  $A/\mathbb{F}_q$  be a simple, non-supersingular abelian surface such that*

$$A \otimes_{\mathbb{F}_q} \mathbb{F}_{q^n} \sim E_1 \times E_2,$$

*for some integer  $n > 1$  and some elliptic curves  $E_i/\mathbb{F}_{q^n}$ , where  $i = 1, 2$ . Then*

- (a)  $A$ ,  $E_1$ , and  $E_2$  are ordinary, and  $E_1 \sim E_2$ .
- (b)  $h_A(X)$  is irreducible over  $\mathbb{Q}$ , and  $K := \mathbb{Q}[X]/(h_A(X))$  is biquadratic extension of  $\mathbb{Q}$ , i.e.  $K/\mathbb{Q}$  is Galois with  $\text{Gal}(K/\mathbb{Q}) \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ .
- (c) If  $n$  is minimal, then  $n = 2, 3, 4$  or  $6$ .

**Remark 4.** Most of this theorem can already be found in the literature. Specifically, the fact that  $A$  has to be ordinary (Theorem 3(a)) follows from Corollary 2.17 of [7] and part (c) is part of Theorem 6 of [6]. However, we feel that our proof of part (a) is more direct than that of [7] which relies on previous work (due to Rück and Xing; see [7]). Indeed, we only use basic facts of abelian varieties as given in Mumford[8] and Waterhouse [11]. For example, we shall use the following well-known fact about simple *ordinary* abelian varieties  $A/\mathbb{F}_q$ .

**Lemma 5.** *If  $A/\mathbb{F}_q$  is a simple, ordinary abelian variety, then  $h_A(X)$  is irreducible over  $\mathbb{Q}$  and  $\text{End}^0(A) \simeq \mathbb{Q}[X]/(h_A(X))$ . In particular,  $\text{End}^0(A)$  is a CM-field of degree  $2 \dim(A)$ .*

*Proof.* Since  $A/\mathbb{F}_q$  is simple, we know by Theorem 8 of [11] that  $h_A(X) = f(X)^d$ , where  $f(X) \in \mathbb{Q}[X]$  is some irreducible polynomial and  $d$  is determined by  $[D : Z(D)] = d^2$ , where  $D := \text{End}^0(A)$  and  $Z(D)$  denotes the centre of  $D$ . Now the first part of Theorem 7.2 of [11] asserts that  $D$  is commutative, and so  $d = 1$ , and hence  $h_A(X) = f(X)$  is irreducible. Moreover, since  $Z(D) = \mathbb{Q}(\pi_A)$  (cf. Theorem 3 (a) on page 256 of [8]), it thus follows that  $D = Z(D) = \mathbb{Q}(\pi_A) \simeq \mathbb{Q}[X]/(h_A(X))$ . In particular,  $e := [Z(D) : \mathbb{Q}] = \deg(h_A) = 2 \dim(A)$ , and so it follows from the classification theory of skewfields with a positive involution ([8], p. 201) and the restrictions on  $e$  (cf. [8], p. 202) that we are in Case IV of [8], p. 201, and so  $D = Z(D)$  is a CM-field, i.e.  $D$  is a totally imaginary field which contains a totally real subfield of index 2.

**Remark 6.** In connection with the above-quoted Theorem 7.2 of Waterhouse[11], we note that the second part of that theorem is incorrect as stated: it is *not true* that if  $A/\mathbb{F}_q$  is simple and ordinary, then  $\text{End}^0(A) = \text{End}^0(A \otimes \bar{\mathbb{F}}_q)$ , where  $\bar{\mathbb{F}}_q$  denotes the algebraic closure of  $\mathbb{F}_q$ . (This incorrect statement is repeated in [12].) Indeed, if this were true, then  $A$  would be absolutely simple, and the above Theorem 3 would be vacuous. However, the examples of [4], [10] (and Example 32 below) provide explicit counterexamples to this assertion.

**Corollary 7.** *If  $A/\mathbb{F}_q$  is an ordinary abelian surface, then the characteristic polynomial of  $A$  has the form*

$$(5) \quad h_A(X) = (X - \alpha_1)(X - \alpha_2) \left( X - \frac{q}{\alpha_1} \right) \left( X - \frac{q}{\alpha_2} \right) = X^4 - tX^3 + sX^2 - tqX + q^2$$

where  $\alpha_1, \alpha_2 \in \mathbb{C}$  with  $\alpha_i \neq \frac{q}{\alpha_i} = \bar{\alpha}_i$ , for  $i = 1, 2$ , and  $t, s \in \mathbb{Z}$  with  $(s, q) = 1$ . Moreover,  $A$  is simple if and only if  $\Delta_A := t^2 - 4s + 8q$  is not a square in  $\mathbb{Z}$ . If this is the case, then  $K_0 = \mathbb{Q}(\sqrt{\Delta_A})$  is the maximal real subfield of  $\mathbb{Q}(\pi_A) \simeq \mathbb{Q}[X]/(h_A)$ .

*Proof.* The assertions of the first sentence follow from Proposition 3.4 of [5]. Next, suppose that  $A$  is not simple, so  $A \sim E_1 \times E_2$ , where  $E_i/\mathbb{F}_q$  are two elliptic curves. Then  $h_A(X) = h_{E_1 \times E_2}(X) = (X^2 - t_1X + q)(X^2 - t_2X + q)$ , where  $t_i = \text{tr}_{E_i}$ , and so

$$(6) \quad \text{tr}_A = t_1 + t_2, \quad s_A = t_1t_2 + 2q \quad \text{and} \quad \Delta_A = (t_1 - t_2)^2.$$

Thus,  $\Delta_A$  is a square if  $A$  is not simple.

Conversely, suppose that  $A$  is simple. Then by Lemma 5 we know that  $h_A(X)$  is irreducible and that  $K := \mathbb{Q}(\alpha_1) \simeq \text{End}^0(A)$  is a quartic CM field. Thus, if  $K_0 \subset K$  denotes the maximal real subfield of  $K$ , then  $[K_0 : \mathbb{Q}] = 2$ .

Since  $\bar{\alpha}_1 = \frac{q}{\alpha_1}$ , we see that  $\alpha_1 + \frac{q}{\alpha_1} \in K \cap \mathbb{R} = K_0$ . But since  $\alpha_1$  satisfies the polynomial  $X^2 - (a_1 + \frac{q}{a_1})X + q \in K_0[X]$ , we see that  $[K : \mathbb{Q}(a_1 + \frac{q}{a_1})] \leq 2$ , and so  $K_0 = \mathbb{Q}(a_1 + \frac{q}{a_1})$ . Next we note that

$$(7) \quad g(X) := X^2 - tX + (s - 2q) = \left( X - \left( \alpha_1 + \frac{q}{\alpha_1} \right) \right) \left( X - \left( \alpha_2 + \frac{q}{\alpha_2} \right) \right)$$

because by multiplying out the factorization (5) we see that  $t = (\alpha_1 + \frac{q}{\alpha_1}) + (\alpha_2 + \frac{q}{\alpha_2})$  and  $s = 2q + \alpha_1\alpha_2 + \alpha_1\frac{q}{\alpha_2} + \alpha_2\frac{q}{\alpha_1} + \frac{q^2}{\alpha_1\alpha_2}$ , from which (7) follows readily. Thus,  $g(X) \in \mathbb{Z}[X]$  has  $\alpha_1 + \frac{q}{\alpha_1}$  as a root, and so it follows that  $g(X)$  is irreducible over  $\mathbb{Q}$ . Thus, its discriminant  $\text{disc}(g) = t^2 - 4(s - 2q) = \Delta_A$  is not a square in  $\mathbb{Q}$ , and so  $K_0 = \mathbb{Q}(\sqrt{\Delta_A})$ .

**Remark 8.** If  $A/\mathbb{F}_q$  is an abelian variety, then its *trace*  $\text{tr}_A = \text{tr}(\pi_A) \in \mathbb{Z}$  is the trace of its Frobenius endomorphism  $\pi_A$  (cf. [8], p. 182), i.e.  $-\text{tr}_A$  is the coefficient of  $X^{2g-1}$  in the characteristic polynomial  $h_A(X)$  of  $\pi_A$ , where  $g = \dim(A)$ . Thus, if  $A/\mathbb{F}_q$  is an ordinary surface, then  $\text{tr}_A = t$  in the notation of (5), and hence (5) shows that  $h_A(X)$  (and also the isogeny class of  $A/\mathbb{F}_q$ ) is uniquely determined by the pair of integers  $(\text{tr}_A, s_A)$ , where  $s_A$  denotes the coefficient of  $X^2$  in  $h_A(X)$ .

In fact, if  $A/\mathbb{F}_q$  is *any* abelian surface, then its characteristic polynomial  $h_A(X)$  has the form (5), as is easy to see by considering the simple and split cases separately. (This fact is used without proof in [7].) Thus,  $(\text{tr}_A, s_A)$  characterize the isogeny class of an any abelian surface  $A/\mathbb{F}_q$ .

*Proof of Theorem 3.* (a) We will show that the following 3 cases are impossible.

Case 1:  $E_1/\mathbb{F}_{q^n}$  and  $E_2/\mathbb{F}_{q^n}$  are supersingular.

Here we have by page 259 of [8] that  $\overline{E} := E_1 \otimes \overline{\mathbb{F}_{q^n}} \sim E_2 \otimes \overline{\mathbb{F}_{q^n}}$ , where  $\overline{\mathbb{F}_{q^n}}$  denotes the algebraic closure of  $\mathbb{F}_{q^n}$ . It follows that  $A \otimes \overline{\mathbb{F}_{q^n}} \sim \overline{E}^2$  is supersingular, which implies that  $A/\mathbb{F}_q$  is supersingular as well, contrary to the hypothesis. Thus, this case cannot occur.

Case 2:  $E_1/\mathbb{F}_{q^n}$  and  $E_2/\mathbb{F}_{q^n}$  are ordinary but  $E_1/\mathbb{F}_{q^n} \not\sim E_2/\mathbb{F}_{q^n}$ .

Since both  $E_1$  and  $E_2$  are ordinary,  $A_n := A \otimes_{\mathbb{F}_q} \mathbb{F}_{q^n} \sim E_1 \times E_2$  is also ordinary, which implies that  $A/\mathbb{F}_q$  is ordinary. We thus have by Lemma 5 that  $\text{End}^0(A)$  is a field of degree  $2 \dim(A) = 4$  over  $\mathbb{Q}$ . However,

$$\text{End}^0(A) \subset \text{End}^0(A_n) \simeq \text{End}^0(E_1) \times \text{End}^0(E_2)$$

because  $E_1$  and  $E_2$  are not isogenous. Since  $\text{End}^0(E_1)$  and  $\text{End}^0(E_2)$  are imaginary quadratic fields, it follows by comparing dimensions that

$$\text{End}^0(A) \simeq \text{End}^0(E_1) \times \text{End}^0(E_2).$$

Since the right hand side is not a field, we have a contradiction, and so this case is impossible as well.

Case 3:  $E_1/\mathbb{F}_{q^n}$  supersingular and  $E_2/\mathbb{F}_{q^n}$  ordinary.

Here we shall derive a contradiction after establishing the following claims.

Claim 3.1:  $h_A(X)$  is irreducible over  $\mathbb{Q}$  and  $\text{End}^0(A)$  is a field.

Suppose  $h_A(X)$  were reducible over  $\mathbb{Q}$ . Since  $A/\mathbb{F}_q$  is simple, we know by Theorem 8 of [12] that  $h_A(X) = f(X)^d$  for some  $d \in \mathbb{N}$  and some  $f(X) \in \mathbb{Z}[X]$ . If  $\deg(f(X)) = 1$ , then Theorem 3 (d) on page 256 of [8] shows that  $A$  is  $\mathbb{F}_q$ -isogenous to a power of a supersingular elliptic curve, which is contrary to the hypothesis that  $A$  is non-supersingular. Thus, we must have that  $h_A(X) = f(X)^2$ , where  $\deg(f(X)) = 2$ , and so by Theorem 8 of [12], we know that  $\text{End}^0(A)$  is a skewfield of degree  $[\text{End}^0(A) : \mathbb{Q}] = 2^2 \deg(f(X)) = 8$ . On the other hand, since  $E_1 \not\sim E_2$ , we have that

$$\text{End}^0(A) \subset \text{End}^0(A_n) \simeq \text{End}^0(E_1) \times \text{End}^0(E_2) \subseteq \text{End}^0(\overline{E}_1) \times \text{End}^0(\overline{E}_2) = Q \times F,$$

where  $\overline{E}_i := E_i \otimes_{\mathbb{F}_q} \overline{\mathbb{F}_{q^n}}$ , for  $i = 1, 2$ , and  $Q$  is a quaternion algebra, and  $F$  is an imaginary quadratic field. By comparing dimensions, we have that  $\text{End}^0(A) \simeq Q \times F$ , which is a contradiction because  $Q \times F$  is not a skewfield. Thus,  $h_A(X)$  must be irreducible over  $\mathbb{Q}$ , and hence has no repeated roots. By Theorem 3 (a) (c) on page 256 of [8], it follows that  $\text{End}^0(A) \simeq \mathbb{Q}(\pi_A)$  is a field. This concludes Claim 3.1.

Claim 3.2:  $\pi_{E_1} = [\pm q^{\frac{n}{2}}]$

Since  $E_1$  is supersingular, we know that  $\text{End}^0(\overline{E}_1) = Q$  is a quaternion algebra, where  $\overline{E}_1 := E_1 \otimes_{\mathbb{F}_q} \overline{\mathbb{F}_{q^n}}$ . First we show that  $\text{End}^0(E_1) = Q$ . If not, then  $\text{End}^0(E_1)$  is a

skewfield which is properly contained in  $Q$ , and so  $\text{End}^0(E_1) \leq 2$ . Thus, since  $A_n := A \otimes \mathbb{F}_{q^n} \sim E_1 \times E_2$ , where  $E_1 \not\sim E_2$ , we have  $\text{End}^0(A_n) \simeq \text{End}^0(E_1) \times \text{End}^0(E_2) = \text{End}^0(E_1) \times F$ , where  $F$  is an imaginary quadratic field. Thus  $[\text{End}^0(A_n) : \mathbb{Q}] \leq 4$ , and so  $\text{End}^0(A) = \text{End}^0(A_n) \simeq \text{End}^0(E_1) \times F$  by comparing degrees. This, however, is impossible since the latter is not a field.

We thus have that  $\text{End}^0(E_1) = Q$ , i.e. all endomorphisms of  $\bar{E}_1$  are defined over  $\mathbb{F}_{q^n}$ . It then follows from Theorem 3 (d) on page 256 of [8] that  $h_{E_1}(X) = (X - a)^2$  for some  $a \in \mathbb{Q}$ . This implies that  $a^2 = q^n$ , which means that  $a = \pm q^{\frac{n}{2}}$  is the eigenvalue of  $\pi_{E_1}$ . Thus,  $\pi_{E_1} = [\pm q^{\frac{n}{2}}]$ , which verifies Claim 3.2.

**Claim 3.3:** The minimal polynomial  $m_{A_n}(X)$  of  $\pi_{A_n}$  over  $\mathbb{Q}$  has degree 3.

By Claim 3.2, we know that the minimal polynomial of  $\pi_{E_1}$  is  $m_{E_1}(X) = X \pm q^{\frac{n}{2}}$ . On the other hand, since  $E_2$  is ordinary we know that the minimal polynomial of  $\pi_{E_2}$  is irreducible over  $\mathbb{Q}$  of degree 2. Thus, the minimal polynomial of  $\pi_{E_1 \times E_2}$  is

$$m_{E_1 \times E_2}(X) = \text{lcm}(m_{E_1}(X), m_{E_2}(X)) = m_{E_1}(X)m_{E_2}(X),$$

which has degree 3. Since  $m_{A_n}(X) = m_{E_1 \times E_2}(X)$ , we have  $\deg(m_{A_n}(X)) = 3$  as claimed.

We now combine Claims 3.1 and 3.3 to derive a contradiction. Since  $\text{End}^0(A)$  is a field of degree 4 over  $\mathbb{Q}$  by Claim 3.1, the minimal polynomials of the elements in  $\text{End}^0(A)$  over  $\mathbb{Q}$  must have degree either 1, 2, or 4. However,  $\pi_{A_n} = \pi_A^n \in \text{End}^0(A)$ , so this contradicts the result of Claim 3.3. Hence, we conclude that Case 3 cannot occur either.

Since Cases 1, 2 and 3 cannot occur, we conclude that the only possibility is  $A_n = A \otimes_{\mathbb{F}_q} \mathbb{F}_{q^n} \sim E^2$  where  $E/\mathbb{F}_{q^n}$  is ordinary. Note that this implies that  $A$  is ordinary.

(b) Since  $A$  is ordinary by part (a), it follows from Lemma 5 that  $h_A(X)$  is irreducible and that  $K := \text{End}^0(A) \simeq \mathbb{Q}[X]/(h_A(X))$  is a CM-field of degree 4. Thus,  $K$  contains the real quadratic subfield  $K_0 = \mathbb{Q}(\sqrt{\Delta_A})$ ; cf. Corollary 7.

Put  $A_n := A \otimes_{\mathbb{F}_q} \mathbb{F}_{q^n}$ . Since  $\pi_{A_n} = \pi_A^n$  and  $A_n \sim E^2$  by part (a), it follows that

$$(8) \quad h_{A_n}(X) = (X - \alpha_1^n)(X - \alpha_2^n) \left( X - \frac{q^n}{\alpha_1^n} \right) \left( X - \frac{q^n}{\alpha_2^n} \right) = h_E(X)^2,$$

where  $h_E(X)$  is the characteristic polynomial of  $E/\mathbb{F}_{q^n}$ . Thus,  $\alpha_1^n$  is a root of  $h_E(X)$ , and so  $K_E := \mathbb{Q}(\alpha_1^n)$  is an imaginary quadratic field because  $K_E$  is the splitting field of  $h_E(X)$  where  $E$  is ordinary. But  $K_E \subset \mathbb{Q}(\alpha_1) \simeq K$ , so  $K$  has two distinct quadratic subfields. Since  $[K : \mathbb{Q}] = 4$ , we see that  $K = K_0 K_E$ , and so  $K/\mathbb{Q}$  is a biquadratic field, as claimed.

(c) From (8) and the fact that  $\alpha_i^n \neq \frac{q^n}{\alpha_i}$  for  $i = 1, 2$  (cf. Corollary 5), we see that either  $\alpha_1^n = \alpha_2^n$  or that  $\alpha_1^n = \frac{q^n}{\alpha_2^n}$ . Thus, after renaming  $\frac{q}{\alpha_2}$  as  $\alpha_2$  if necessary, we may assume that  $\alpha_1^n = \alpha_2^n$ . Thus,  $\alpha_1 = \zeta_n \alpha_2$ , for some  $n$ th root of unity.

Now if  $n \in \mathbb{N}$  is the minimal value such that  $A_n$  splits, then  $\zeta_n$  is a *primitive*  $n$ th root. To see this, suppose that  $\zeta_n^d = 1$  for some  $d|n$  and  $d \neq n$ . Then  $\alpha_1^d = \alpha_2^d$ , so by the first equation of (8) (with  $n$  replaced by  $d$ ) we see that  $h_{A_d}$  has repeated roots, and so  $A_d$  cannot be simple by Lemma 5. This contradicts the minimality of  $n$ , and so  $\zeta_n$  is a primitive  $n$ th root.

By part (b) we know that  $K/\mathbb{Q}$  is abelian. If  $\eta \in \text{Gal}(K/\mathbb{Q})$  denotes the automorphism induced by complex conjugation, then its fixed field is  $K^\eta = K_0$ . Let  $\sigma \in \text{Gal}(K/\mathbb{Q})$  be the unique automorphism such that  $\sigma(\alpha_1) = \alpha_2$ . Then  $\sigma(\alpha_1^n) = \alpha_2^n = \alpha_1^n$ , so  $K_E = K^\sigma$ , and hence the third quadratic subfield is  $K^{\sigma\eta}$ . Now  $\zeta_n = \frac{\alpha_1}{\alpha_2} \in K^{\sigma\eta}$  because  $\eta\left(\frac{\alpha_1}{\alpha_2}\right) = \frac{q/\alpha_1}{q/\alpha_2} = \frac{\alpha_2}{\alpha_1} = \sigma\left(\frac{\alpha_1}{\alpha_2}\right)$ . Thus  $\phi(n) = [\mathbb{Q}(\zeta_n) : \mathbb{Q}] \leq [K^{\sigma\eta} : \mathbb{Q}] = 2$ , and this implies that  $n = 2, 3, 4$  or  $6$ , as claimed.

**Remark 9.** If  $A/\mathbb{F}_q$  is as in Theorem 3, then by part (b) we know that the quartic field  $K = \mathbb{Q}[X]/(h_A(X))$  has three quadratic subfields. As was mentioned in the above proof, one is the real quadratic field  $K_0 = K^\eta$ , where  $\eta \in \text{Gal}(K/\mathbb{Q})$  denotes complex conjugation, and the other is the imaginary quadratic subfield  $K_E = \mathbb{Q}(\sqrt{\text{tr}_E^2 - 4q^n})$ , the splitting field of  $h_E(X)$ . In terms of the roots  $\alpha_1, \alpha_2$  of  $h_A(X)$  (which were chosen such that  $\alpha_1 = \zeta_n \alpha_2$ , for some primitive  $n$ th root of unity  $\zeta_n \in \mathbb{C}$ ), we have

$$(9) \quad K_E = \mathbb{Q}(\alpha_1^n) = K^\sigma \quad \text{and} \quad K_0 = \mathbb{Q}\left(\alpha_1 + \frac{q}{\alpha_1}\right) = \mathbb{Q}\left(\alpha_2 + \frac{q}{\alpha_2}\right) = \mathbb{Q}(\sqrt{\Delta_A}) = K^\eta,$$

where  $\sigma \in \text{Gal}(K/\mathbb{Q})$  satisfies  $\sigma(\alpha_1) = \alpha_2$  and  $\Delta_A$  is as in Corollary 7. Moreover, from the proof of Theorem 3(c) we see that if  $n \neq 2$ , then the third subfield of  $K$  is

$$K^{\sigma\eta} = \mathbb{Q}(\zeta_n), \quad \text{provided that } n \neq 2.$$

From this we see that if  $n = 3$  or  $n = 6$ , then we must have that  $K_E \neq \mathbb{Q}(\zeta_3)$ , or equivalently, that  $E$  is not isogenous to any curve  $E_0$  with  $j(E_0) = 0$ . Similarly, if  $n = 4$ , then we must have that  $K_E \neq \mathbb{Q}(i)$ , or equivalently, that  $E$  is not isogenous to any curve  $E'_0$  with  $j(E'_0) = 1728$ .

We now investigate the structure of the characteristic polynomials  $h_A(X)$  of a simple, geometrically split abelian surface  $A/\mathbb{F}_q$  in more detail. For this we shall make use of the following result which will also be needed in the next section.

**Proposition 10.** *If  $h(X)$  is a quartic polynomial of the form*

$$(10) \quad h(X) = X^4 - tX^3 + sX^2 - tqX + q^2$$

where  $s, t, q \in \mathbb{Z}$  and  $q \neq 0$ , then there are  $\alpha_1, \alpha_2 \in \mathbb{C}$  such that

$$(11) \quad h(X) = (X - \alpha_1)(X - \alpha_2) \left(X - \frac{q}{\alpha_1}\right) \left(X - \frac{q}{\alpha_2}\right).$$

Furthermore, let  $n \geq 1$  and put

$$(12) \quad h_{(n)}(X) := (X - \alpha_1^n)(X - \alpha_2^n) \left( X - \frac{q^n}{\alpha_1^n} \right) \left( X - \frac{q^n}{\alpha_2^n} \right).$$

Then we have

$$(13) \quad h_{(n)}(X) = X^4 - t_n X^3 + s_n X^2 - t_n q^n X + q^{2n}$$

where  $t_n = \alpha_1^n + \alpha_2^n + \frac{q^n}{\alpha_1^n} + \frac{q^n}{\alpha_2^n}$  and  $s_n = \frac{1}{2}(t_n^2 - t_{2n})$  are integers. Thus, if we put

$$(14) \quad r_n := t^2 - n(s - (n-2)q) \quad \text{and} \quad \Delta_n := t_n^2 - 4s_n + 8q^n,$$

then we have

$$(15) \quad \begin{aligned} t_2 &= r_2 = t^2 - 2s, & s_2 &= s^2 - 2t^2q + 2q^2 \\ t_3 &= tr_3 = t^3 - 3st + 3tq, & s_3 &= s^3 - 3q^2s - 3qt^2s + 6q^2t^2, \end{aligned}$$

and hence

$$(16) \quad \Delta_2 = t^2\Delta_1 = r_0\Delta_1, \quad \Delta_3 = r_1^2\Delta_1, \quad \Delta_4 = r_0r_2^2\Delta_1, \quad \text{and} \quad \Delta_6 = r_0r_1^2r_3^2\Delta_1.$$

**Remark 11.** If  $A/\mathbb{F}_q$  is an abelian surface, then by Remark 6 we know that its characteristic polynomial  $h_A(X)$  has the form (10), and so it follows as in the proof of Theorem 3(a) that the characteristic polynomial of  $A_n := A \otimes \mathbb{F}_{q^n}$  is

$$(17) \quad h_{A_n}(X) = (h_A)_{(n)}(X) \quad \text{and that hence} \quad \Delta_{A_n} = \Delta_n.$$

Thus, the above proposition describes in particular how the coefficients of  $h_{A_n}(X)$  are related to those of  $h_A(X)$  and hence contains Lemma 2.13 of [7] (which is stated there without proof). Note that this more general version of Proposition 10 is needed in the the proof of the Existence Theorem 15.

*Proof of Proposition 10.* We first note that  $h(X)$  satisfies the functional equation

$$(18) \quad X^4 h\left(\frac{q}{X}\right) = q^2 h(X)$$

because  $X^4 h\left(\frac{q}{X}\right) = q^4 - tq^3X + sq^2X^2 - tq(qX^3) + q^2X^4 = q^2 h(X)$ . Thus, if  $a$  is a root of  $h(X)$ , then so is  $\frac{q}{a}$ . (Note that  $a \neq 0$  because  $q \neq 0$ .) To verify that (11) holds, let  $\alpha_1$  be a root of  $h(X)$ . Suppose first that  $\alpha_1 \neq \frac{q}{\alpha_1}$ . Then  $g_1(X) := (X - \alpha_1)(X - \frac{q}{\alpha_1}) | h(X)$ , so  $h(X) = g_1(X)g_2(X)$ . Since  $X^2 g_1\left(\frac{q}{X}\right) = qg_1(X)$ , it follows from (18) that  $g_2(X)$  has the same property, and so  $g_2(X) = (X - \alpha_2)(X - \frac{q}{\alpha_2})$ , where  $\alpha_2$  is a root of  $g_2(X)$ . Thus (11) holds in this case.

We are left with the case that  $\alpha_i = \frac{q}{\alpha_i}$  for all roots  $\alpha_i$  of  $h(X)$ . Thus  $\alpha_i^2 = q$ , so we have at most two distinct roots. If all roots are the same, then clearly (11) holds with  $\alpha_1 = \alpha_2$ , so assume that  $\alpha_1 \neq \alpha_2$ . Then  $\alpha_2 = -\alpha_1$ , so  $h(X) = (x - \alpha_1)^{m_1}(X + \alpha_1)^{m_2}$ , which has constant term  $(-1)^{m_1}\alpha_1^4 = (-1)^{m_1}q^2$ . Since  $h(0) = q^2$ , we see that  $m_1$  is even and hence  $m_1 = m_2 = 2$ , and so  $h(X) = (x - \alpha_1)^2(x + \alpha_1)^2$ . Thus (11) holds in this case as well.

To show that equation (13) holds, write  $\alpha_3 := \frac{q}{\alpha_1}$  and  $\alpha_4 := \frac{q}{\alpha_2}$ , and put

$$\begin{aligned} t_n &:= \alpha_1^n + \alpha_2^n + \alpha_3^n + \alpha_4^n \\ s'_n &:= \alpha_1^n \alpha_2^n + \alpha_1^n \alpha_3^n + \alpha_1^n \alpha_4^n + \alpha_2^n \alpha_3^n + \alpha_2^n \alpha_4^n + \alpha_3^n \alpha_4^n \\ t'_n &:= \alpha_1^n \alpha_2^n \alpha_3^n + \alpha_1^n \alpha_2^n \alpha_4^n + \alpha_1^n \alpha_3^n \alpha_4^n + \alpha_2^n \alpha_3^n \alpha_4^n. \end{aligned}$$

Expanding the right hand side of (12), we see that the coefficients of  $X^3$ ,  $X^2$ , and  $X$  are  $-t_n$ ,  $s'_n$  and  $-t'_n$ , respectively. Since  $t_n^2 - t_{2n} = (\alpha_1^n + \dots + \alpha_4^n)^2 - (\alpha_1^{2n} + \dots + \alpha_4^{2n}) = 2(\alpha_1^n \alpha_2^n + \alpha_1^n \alpha_3^n + \alpha_1^n \alpha_4^n + \alpha_2^n \alpha_3^n + \alpha_2^n \alpha_4^n + \alpha_3^n \alpha_4^n) = 2s'_n$ , we have that  $s_n = s'_n$ . Moreover, since  $\alpha_1 \alpha_3 = \alpha_2 \alpha_4 = q$ , we see that  $t'_n = q^n \alpha_2^n + \alpha_1^n q^n + q^n \alpha_4^n + q^n \alpha_3^n = q^n t_n$ , and so (13) holds because  $h_{(n)}(X)$  is clearly monic with constant term  $q^{2n}$ .

Note that Newton's recursive formulae (cf. [2], page 161) show that  $t_n$  and  $s_n$  can be expressed in terms of polynomials in  $s$ ,  $t$ , and  $q^2$ , for we have

$$(19) \quad t_n = -nc_n - (c_1 t_{n-1} + \dots + c_{n-1} t_1),$$

where  $c_1 := -t$ ,  $c_2 := s$ ,  $c_3 := -tq$ ,  $c_4 = q^2$  and  $c_n = 0$  if  $n > 4$ . Hence, since  $s, t, q^2 \in \mathbb{Z}$ , it follows that  $t_n \in \mathbb{Z}$  for  $n \geq 1$  and that  $s_n \in \mathbb{Q}$ . Since  $s_n = s'_n \in \mathbb{Q}$  is also an algebraic integer (because the  $\alpha_i$ 's are algebraic integers), we see that  $s_n \in \mathbb{Z}$ .

From (19) we have that  $t_2 = -2c_2 - c_1 t_1 = t^2 - 2s = r_2$ , and similarly one obtains that

$$(20) \quad \begin{aligned} t_3 &= t^3 + 3tq - 3st = t(t^2 - 3(s + q)) = tr_3 \\ t_4 &= -4q^2 + s^4 + 4s^2q - 4ts^2 + 2t^2 \\ t_5 &= -5sq^2 + s^5 + 5s^3q - 5s^3q - 5ts^3 + 5st^2 - 5stq \\ t_6 &= -3s^2q^2 + s^6 + 6s^4q - 6ts^4 + 9t^2s^2 + 6tq^2 - 2t^3 - 12s^2tq. \end{aligned}$$

From these it follows that  $s_2 = \frac{1}{2}(t_2^2 - t_4) = \frac{1}{2}((t^2 - 2s)^2 + 4q^2 - t^4 - 4t^2q + 4st^2 - 2s^2) = s^2 - 2t^2q + 2q^2$ , and similarly  $s_3 = \frac{1}{2}(t_3^2 - t_6) = s^3 - 3q^2s - 3qt^2s + 6q^2t^2$ , as claimed.

Substituting the above expressions for  $t_2$  and  $s_2$  in  $\Delta_2$  yields  $\Delta_2 = (t^2 - 2s)^2 - 4(s^2 - 2t^2q + 2q^2) + 8q^2 = t^2(t^2 - 4s + 8q) = t^2\Delta_1 = r_0\Delta_1$ , and similarly  $\Delta_3 = r_1^2\Delta_1$ . Now since  $h_{(4)}(X) = (h_{(2)})_{(2)}(X)$ , it follows from what was just proved that  $\Delta_4 = t_2^2\Delta_2 = r_2^2\Delta_2 = r_2^2r_0\Delta_1$ . Similarly, since  $h_{(6)}(X) = (h_{(3)})_{(2)}(X)$ , we have  $\Delta_6 = t_3^2\Delta_3 = t^2r_3^2\Delta_3 = r_0r_3^2r_1^2\Delta_1$ , which proves (16).

The following result characterizes the simple, geometrically split abelian surfaces  $A/\mathbb{F}_q$  in terms of relations satisfied by the coefficients  $\text{tr}_A$  and  $s_A$  of the characteristic

polynomial  $h_A(X)$ . Except for its compact formulation, this result is originally due to Howe and Zhu[6].

**Theorem 12.** *Let  $A/\mathbb{F}_q$  be a simple ordinary abelian surface. Then  $A$  is not absolutely simple if and only if there exists an integer  $c \in \mathbb{Z}$  such that*

$$(21) \quad \text{tr}_A^2 = c(s_A + cq - 2q) \quad \text{and} \quad 0 \leq c \leq 3.$$

*Proof.* Suppose first that (21) holds, i.e. that  $r_c = 0$  in the notation (14) (with  $t = \text{tr}_A$  and  $s = s_A$ ) for some  $c \in \{0, 1, 2, 3\}$ . Then by (16) and (17) we have that  $\Delta_{A_n} = \Delta_n = 0$  for some  $n \in \{2, 3, 4, 6\}$ , and hence  $A_n$  is split by Corollary 7.

Conversely, suppose that  $A_n$  is split, and assume that  $n$  is minimal with this property. Then by Theorem 3 we have that  $n \in \{2, 3, 4, 6\}$  and that  $A_n \sim E^2$ , for some elliptic curve  $E/\mathbb{F}_{q^n}$ . By (6) we thus have that  $\Delta_{A_n} = 0$ , and so from (16) we see that  $r_c = 0$  for some  $c \in \mathbb{Z}$  with  $0 \leq c \leq 3$ , and so (21) holds.

**Remark 13.** Note that in the case that  $c = 2$  (i.e.  $n = 4$ ) of the above theorem we have  $\text{tr}_A^2 = 2s_A$  by (21), and so  $2 \mid \text{tr}_A$  and  $2 \mid s_A$ . But if  $2 \mid q$  (i.e. if  $\text{char}(\mathbb{F}_q) = 2$ ), then this means that  $(s_A, q) > 1$ , so  $A$  is not ordinary. Thus, this case cannot occur in characteristic 2.

Similarly, in the case that  $c = 3$  (i.e.,  $n = 6$ ) we have  $\text{tr}_A^2 + 3q = 3s_A$ , and so  $3 \mid \text{tr}_A$ . But if  $3 \mid q$ , then this forces  $3 \mid s_A$ , and so again  $A$  is not ordinary. Thus, the case  $n = 6$  cannot occur in characteristic 3.

By combining Theorem 3 with Theorem 12 we obtain the following result.

**Corollary 14.** *Let  $A/\mathbb{F}_q$  be a simple abelian surface. Then  $A$  is absolutely simple if and only if  $A$  is either non-ordinary and non-supersingular or  $A$  is ordinary and relation (21) does not hold for  $A$ .*

*Proof.* Suppose first that  $A$  is absolutely simple. Then  $A$  cannot be supersingular (because then  $A \otimes \overline{\mathbb{F}}_q \sim \overline{E}^2$ , where  $\overline{E}$  is supersingular), so either  $A$  is non-ordinary and non-supersingular or  $A$  is ordinary and condition (21) does not hold by Theorem 12. Conversely, if  $A$  non-ordinary and non-supersingular, then  $A$  is absolutely simple by Theorem 3(a), and if  $A$  is ordinary and (21) does not hold, then  $A$  is absolutely simple by Theorem 12.

*Proof of Theorem 1.* The first assertion is contained in Corollary 14. By Theorem 3 we know that  $A_n \sim E^2$  for some elliptic curve  $E/\mathbb{F}_{q^n}$  and  $n \in \{2, 3, 4, 6\}$  and by the proof of Theorem 12 we know that  $n$  is related to  $c$  as stated in Theorem 1.

Finally, to prove (2) we note that by (6) and Remark 11 we know that

$$2 \text{tr}_E = \text{tr}_{A_n} = t_n,$$

where  $t_n$  is as in Proposition 10. If  $n = 2$ , then  $2 \operatorname{tr}_E = t_2 = t^2 - 2s = -2s$  by (15) and (21) (with  $c = 0$ ), so (2) holds for  $n = 2$ . Similarly, for  $n = 3$  we obtain by from (15) and (21) (with  $c = 1$ ) that  $2 \operatorname{tr}_E = t_3 = t(t^2 + 3q - 3s) = t(t^2 + 3q - 3(t^2 - q)) = t(6q - 2t^2)$ , and so (2) holds for  $n = 3$ . The cases  $n = 4$  and  $6$  are proved similarly by using (20) in place of (15).

### 3 Existence theorems

In the previous section we had classified the simple ordinary abelian surfaces  $A/\mathbb{F}_q$  which are geometrically split in terms of the relation (21) satisfied by  $h_A(X)$ . We now refine this by determining precisely which polynomials  $h(X)$  of the form (10) actually belong to abelian surface of this type.

**Theorem 15.** *For  $n \in \{2, 3, 4, 6\}$ , define  $s, t, u_n$  by the following rules. If  $n = 2$ , then put*

$$(22) \quad t = 0, \quad u_2 = t, \quad \text{where } s \in \mathbb{Z} \text{ is arbitrary,}$$

and if  $n = 3, 4$  or  $6$ , then let  $t \in \mathbb{Z}$  be arbitrary and define  $s$  and  $u_n$  by the following table:

$$(23) \quad \begin{array}{c|c|c} n & s & u_n \\ \hline 3 & t^2 - q & t^3 - 3qt \\ 4 & \frac{t^2}{2} & \frac{t^4}{4} - 2t^2q + 2q^2 \\ 6 & \frac{t^2}{3} + q & \frac{1}{27}t^6 - \frac{2}{3}t^4q + 3q^2t^2 - 2q^3 \end{array}$$

Then there exists an ordinary abelian surface  $A/\mathbb{F}_q$  such that

$$(24) \quad \operatorname{tr}_A = t, \quad s_A = s \quad \text{and} \quad A \otimes \mathbb{F}_{q^n} \sim E^2,$$

for some elliptic curve  $E/\mathbb{F}_{q^n}$ , if and only if

$$(25) \quad s \in \mathbb{Z}, \quad |u_n| \leq 2\sqrt{q^n} \quad \text{and} \quad \gcd(u_n, q) = 1.$$

If this is the case, then  $\operatorname{tr}_E = -u_n$  and  $A$  is simple if and only if  $\Delta_A = t^2 - 4s + 8q$  is not a square in  $\mathbb{Z}$ .

*Proof.* For the above values of  $s$  and  $t$  define  $h(X)$  by (10), and let  $t_n$  and  $s_n$  be defined as in Proposition 10. We then claim that we have

$$(26) \quad -2u_n = t_n, \quad u_n^2 + q^n = s_n, \quad \text{so} \quad h_{(n)}(X) = (X^2 + u_nX + q^n)^2.$$

To verify this, suppose first that  $n = 2$ . Substituting  $t = 0$  and  $s = u_2$  into the formulae (15) for  $t_2$  and  $s_2$  yields  $t_2 = (0)^2 - 2u_2 = -2u_2$  and  $s_2 = u_2^2 - 2(0)^2q + 2q^2 = u_2^2 + 2q^2$ , and so (26) holds for  $n = 2$ . Thus we have shown:

$$(27) \quad h(X) = X^4 + uX^2 + q^2 \quad \Rightarrow \quad h_{(2)}(X) = (X^2 + uX + q^2)^2.$$

Similarly, if  $n = 3$ , then we substitute  $t$  and  $s = t^2 - q$  into the formulae (15) of  $s_3, t_3$  to get  $t_3 = t^3 - 3(t^2 - q)t + 3tq = -2u_3$  and  $s_3 = (t^2 - q)^3 - 3q^2(t^2 - q) - 3qt^2(t^2 - q) + 6q^2t^2 = (t^3 - 3tq)^2 + 2q^3 = u_3^2 + 2q^3$ , which verifies (26) for  $n = 3$ .

If  $n = 4$ , then we substitute  $t$  and  $s = \frac{t^2}{2}$  into the formulae (15) of  $t_2$  and  $s_2$  to obtain  $t_2 = t^2 - \left(\frac{t^2}{2}\right) = 0$  and  $s_2 = \frac{t^4}{4} - 2t^2q + 2q^2 = u_4$ , so  $h_{(2)}(X) = X^4 + u_4X^2 + q^4$ . Thus, by (27) applied to  $h_{(2)}(X)$  in place of  $h(X)$  (and  $q^2$  in place of  $q$ ), we see that  $h_{(4)}(X) = (h_{(2)})_{(2)}(X) = (X^2 + u_4X + q^4)^2$ , and so (26) holds for  $n = 4$ .

Finally, if  $n = 6$ , then  $s = \frac{t^2}{3} + q$  and so by the formulae (15) for  $t_3$  and  $s_3$  we obtain  $t_3 = t^3 - 3\left(\frac{t^2}{3} + q\right)t + 3tq = 0$  and  $s_3 = \left(\frac{t^2}{3} + q\right)^3 - 3q^2\left(\frac{t^2}{3} + q\right) - 3qt^2\left(\frac{t^2}{3} + q\right) + 6q^2t^2 = \frac{1}{27}t^6 - \frac{2}{3}t^4q + 3q^2t^2 - 2q^3 = u_6$ . Thus, applying (27)  $h_{(3)}(X)$  (in place of  $h(X)$ ), we obtain that  $h_{(6)}(X) = (h_{(3)})_{(2)}(X) = (X^2 + u_6 + q^6)^2$ , and so (26) holds for  $n = 6$ . This proves (26) in all cases.

We next prove the equivalence of conditions (24) and (25). Suppose first that  $A/\mathbb{F}_q$  and  $E/\mathbb{F}_{q^n}$  satisfying (24) exist. Since  $h(X) = h_A(X) \in \mathbb{Z}[X]$ , it follows that  $t \in \mathbb{Z}$ . Moreover, since  $A_n \sim E^2$ , we know by Remark 11 that  $h_{(n)}(X) = h_{A_n}(X) = h_E(X)^2$ . Comparing this to (26), we see that  $h_E(X) = X^2 + u_nX + q^n$  and so  $\text{tr}_E = -u_n$ . Thus, by Hasse's bound we have  $|u_n| \leq 2\sqrt{q^n}$ . Moreover, since  $A$  and hence  $E$  are ordinary, we have that  $(u_n, q^n) = 1$ . Thus (25) holds.

Conversely, suppose that (25) holds. Then  $u_n^2 - 4q^n < 0$ , so the roots of  $f(X) := X^2 + u_nX + q^n$  are complex conjugates of each other and hence both have absolute value  $q^{n/2}$ . From this it follows that  $h(X)$  is a  $q$ -Weil polynomial, i.e. that  $h(X) \in \mathbb{Z}[X]$  and that  $|\alpha_i| = \sqrt{q}$  for all roots  $\alpha_i$  of  $h(X)$ . Indeed, since  $s, t \in \mathbb{Z}$ , we see that  $h(X) \in \mathbb{Z}[X]$ . Moreover, if  $\alpha_i \in \mathbb{C}$  is a root of  $h(X)$ , then by definition and (26) we know that  $\alpha_i^n$  is a root of  $h_{(n)}(X) = f(X)^2$ , and hence it is also a root of  $f(X)$ . Thus,  $|\alpha_i^n| = q^{n/2}$  and so  $|\alpha_i| = \sqrt{q}$ . Thus,  $h(X)$  is a  $q$ -Weil polynomial.

Moreover,  $h(X)$  is also an ordinary  $q$ -Weil polynomial in the sense of [5], i.e.  $(s, q) = 1$ . Indeed, for  $n = 2$  this is clear from (25) because  $s = u_2$ . For  $n \neq 2$  we see that the formulae for  $u_n$  imply that  $(t, q) = 1$  and hence also that  $(s, q) = 1$ . Thus,  $h(X)$  is an ordinary  $q$ -Weil polynomial, and so by the Honda-Tate theorem (Theorem 3.3. of [5]), there exists an ordinary abelian surface  $A/\mathbb{F}_q$  such that  $h_A(X) = h(X)$ . Moreover, it follows from (26) that  $A_n = A \otimes \mathbb{F}_{q^n}$  splits because by Remark 11 we have that  $h_{A_n}(X) = (h_A)_{(n)}(X) = h_{(n)}(X) = f(X)^2$  is not irreducible. Thus  $A_n \sim E^2$ , where  $h_E(X) = f(X)$ .

This proves the equivalence of conditions (24) and (25) and the fact that  $\text{tr}_E = -u_n$ . The last assertion follows directly from Corollary 7.

**Remark 16.** (a) In connection with Theorem 15 it is useful to observe that

$$(28) \quad |t| \leq \sqrt{q} \quad \Rightarrow \quad |u_n| \leq 2\sqrt{q^n}, \quad \text{for } n = 3, 4, 6,$$

if  $u_n = u_n(t)$  is defined by (23). Indeed, for  $n = 3$  this follows from the observation that  $-u_3(t)$  is increasing for  $|t| \leq \sqrt{q}$  (because  $-u_3'(t) = 3q - 3t^2 \geq 0$  for  $t^2 \leq q$ ), and  $-u_3(\pm\sqrt{q}) = \pm 2\sqrt{q^3}$ . For  $n = 4$  we note that  $u_4(t) = (\frac{t^2}{2} - 2q)^2 - 2q^2 \geq -2q^2$  for all  $t$  and  $u_4(t) \leq (2q)^2 - 2q$  when  $t^2 \leq 4q$ . Similarly, for  $n = 6$  we have  $u_6(t) = \frac{t^2}{3}(\frac{t^2}{3} - 3q)^2 - 2q^3 \geq -2q^3$  and  $u_6(t) \leq (\frac{4}{9}q)(3q)^2 - 2q^3 = 2q^3$ , if  $t^2 \leq \frac{4}{3}q$ .

(b) By using Theorem 15 and (28) it is easy to see that for every  $n \in \{2, 3, 4, 6\}$  (and many  $q$ 's), there exists a simple ordinary abelian surface  $A/\mathbb{F}_q$  such that  $A \otimes \mathbb{F}_{q^n}$  splits (and  $n$  is minimal with this property). Explicitly:

(i) If  $n = 2$ , take  $t = 0$  and  $s = u_2 = \pm 1$ . Then  $u_2$  satisfies (25), so there is an abelian surface  $A/\mathbb{F}_q$  satisfying (24) with  $n = 2$ . Moreover,  $\Delta_A = 4(2q - s)$ . If we choose the sign of  $s$  such that  $2q - s \equiv 3 \pmod{4}$ , then  $\Delta_A$  is not a square, and so  $A$  is simple.

(ii) If  $n = 3$ , take  $t = \pm 1$ , so  $s = 1 - q$ . Then  $u_3 = \pm(1 - 3q)$  satisfies (25) (use (28)), so there is an abelian surface  $A/\mathbb{F}_q$  satisfying (24) with  $n = 3$ . Here  $\Delta_A = 12q - 3$ . If  $q$  is even, then  $\Delta_A \not\equiv 1 \pmod{8}$ , so  $\Delta_A$  is not a square and  $A$  is simple. If  $q \not\equiv 1 \pmod{3}$ , then  $3 \parallel 12q - 3$ , so again  $\Delta_A$  is not a square.

(iii) If  $n = 4$ , then  $q$  must be odd by Remark 13. Take  $t = \pm 2$ , so  $s = 2$ . Then by (28) we see that  $u_4 = 4 - 8q + 2q^2$  satisfies (25), so there is an abelian surface  $A/\mathbb{F}_q$  satisfying (24) with  $n = 4$ . Here  $\Delta_A = 8q - 4$ , so if  $q \not\equiv 1 \pmod{4}$ , then  $2q - 1 \not\equiv 1 \pmod{8}$  and so  $\Delta_A$  is not a square and  $A$  is simple.

(iv) If  $n = 6$ , then  $3 \nmid q$  by Remark 13. Take  $t = \pm 3$ , so  $s = 3 + q$ . Then by (28) we see that  $u_6$  satisfies (25) if  $q > 2$ , so there is an abelian surface  $A/\mathbb{F}_q$  satisfying (24) with  $n = 6$ . Here  $\Delta_A = 4q - 3$ , so if  $q \not\equiv 1 \pmod{4}$ , then  $\Delta_A \not\equiv 1 \pmod{4}$  and so  $\Delta_A$  is not a square and  $A$  is simple in this case.

As was mentioned in the introduction, a variant of the above existence theorem is the ‘‘descent result’’ stated in Theorem 2.

*Proof of Theorem 2.* If  $n = 2$ , put  $t = -\text{tr}_E$  and  $s = 0$ , and if  $n = 3, 4$ , or  $6$ , let  $t$  be such that (3) holds, and define  $s$  by the table (23). Then by (3) we have  $-\text{tr}_E = u_n$ , where  $u_n$  is as in (23). Since  $-\text{tr}_E \in \mathbb{Z}$  and since  $3 \mid t$  when  $n = 6$ , it follows that  $s \in \mathbb{Z}$ . Thus we see that (25) holds for  $s, t$  and  $u_n$  because the hypotheses on  $u_n = -\text{tr}_E$  follow from the Hasse bound for  $E/\mathbb{F}_{q^n}$  and the fact that  $E$  is ordinary. Theorem 15 therefore shows that there is an abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^n} \sim E^2$  such that  $\text{tr}_A = t$  and  $s_A = s$ . Moreover,  $A$  is simple if and only if  $\Delta_E = s^2 - 4t + 8q$  is not a square in  $\mathbb{Z}$ . A short computation shows that  $\Delta_A = \delta$ , where  $\delta$  is as defined by (4), and so the last assertion follows.

## 4 Connection with the Weil restriction

In order to further analyze the abelian surfaces whose existence is asserted in Theorem 2, we observe that there is a close connection between  $A$  and the *Weil restriction*  $\text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E)$  of  $E$  with respect to the field extension  $\mathbb{F}_{q^n}/\mathbb{F}_q$ .

**Proposition 17.** *Let  $A/\mathbb{F}_q$  be an abelian surface with  $A \otimes \mathbb{F}_{q^n} \sim E^2$ , where  $E/\mathbb{F}_{q^n}$  is an elliptic curve. If  $A$  is simple, then  $A$  is isogenous to a factor of  $\text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E)$ . Otherwise,  $A$  has a factor  $E_0/\mathbb{F}_q$  with  $E_0 \otimes \mathbb{F}_{q^n} \sim E$  which is isogenous to a factor of  $\text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E)$ .*

*Proof.* Recall (cf. [1]) that the Weil restriction satisfies the following universal property: for any abelian variety  $B/\mathbb{F}_q$ , there is an isomorphism (of abelian groups)

$$(29) \quad \text{Hom}(B, \text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E)) \xrightarrow{\sim} \text{Hom}(B \otimes \mathbb{F}_{q^n}, E).$$

Applying this to  $B = A$  we see that  $\text{Hom}(A, R) \simeq \text{Hom}(A \otimes \mathbb{F}_{q^n}, E)$ , where  $R := \text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E)$ . Since  $A \otimes \mathbb{F}_{q^n} \sim E^2$ , we have  $\text{Hom}(A \otimes \mathbb{F}_{q^n}, E) \otimes \mathbb{Q} \simeq \text{Hom}(E^2, E) \otimes \mathbb{Q} \neq 0$ . Thus  $\text{Hom}(A, R) \neq 0$  and so there exists a *non-zero* homomorphism  $h : A \rightarrow R$ .

If  $A$  is simple, then it follows that  $\text{Ker}(h)$  is finite, and so  $h : A \rightarrow h(A)$  is an isogeny onto the abelian subvariety  $h(A)$  of  $R$ , which proves the first assertion.

Now suppose that  $A$  is not simple, i.e.  $A \sim E_1 \times E_2$ , where  $E_i$  are two elliptic curves on  $A$ . Note that  $E_i \otimes \mathbb{F}_{q^n} \sim E$ , for  $i = 1, 2$ , because  $A \otimes \mathbb{F}_{q^n} \sim E^2$ . Now since  $\text{Ker}(h) \neq A$ , there is at least one  $i = 1, 2$ , such that  $E_i \not\subset \text{Ker}(h)$ , and for this  $i$  we have that  $h|_{E_i} : E_i \rightarrow h(E_i)$  is an isogeny. Taking  $E_0 = E_i$  proves the second assertion.

**Remark 18.** Note that if  $E/\mathbb{F}_{q^n}$  is an elliptic curve, then we have

$$\text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E) \otimes \mathbb{F}_{q^n} \sim E^n.$$

This follows from the fact that  $\text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E) \otimes \mathbb{F}_{q^n} \simeq \prod_{\sigma \in G} E^\sigma$ , where  $G = \text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q)$  and the fact that each Galois conjugate  $E^\sigma$  is isogenous to  $E$  because  $E^\sigma$  and  $E$  have the same number of  $\mathbb{F}_{q^n}$ -rational points.

**Corollary 19.** *Let  $E/\mathbb{F}_{q^2}$  be an elliptic curve and let  $\text{Res}(E) = \text{Res}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(E)$  be its Weil restriction. Then the following conditions are equivalent:*

- (i) *Every abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^2} \sim E^2$  is split;*
- (ii) *there is a split abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^2} \sim E^2$ ;*
- (iii)  *$\text{Res}(E)$  is not simple;*
- (iv)  *$E \sim E_0 \otimes \mathbb{F}_{q^2}$ , for some elliptic curve  $E_0/\mathbb{F}_q$ .*

*In particular, there is a simple abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^2} \sim E^2$  if and only if  $\text{Res}(E)$  is simple. If this is the case, then  $A \sim \text{Res}(E)$  and*

$$(30) \quad h_A(X) = h_E(X^2) = X^4 - \text{tr}_E X^2 + q^2.$$

*Proof.* (i)  $\Rightarrow$  (iv): By Remark 18,  $A = \text{Res}(E)$  is a surface over  $\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^2} \sim E^2$ . Since  $A$  is split by hypothesis, we have  $A \sim E_1 \times E_2$  for some elliptic curves  $E_i/\mathbb{F}_q$ , and so  $E_i \otimes \mathbb{F}_{q^2} \sim E$  because  $A \otimes \mathbb{F}_{q^2} \sim E^2$ .

(iv)  $\Rightarrow$  (iii): Since  $\text{Hom}(E_0, \text{Res}(E)) \simeq \text{Hom}(E_0 \otimes \mathbb{F}_{q^2}, E) \neq 0$ , we see that  $E_0$  is a factor of  $\text{Res}(E)$ , and so  $\text{Res}(E)$  is split.

(iii)  $\Rightarrow$  (ii): By Remark 18 we can take  $A = \text{Res}(E)$ .

(ii)  $\Rightarrow$  (i): Let  $A/\mathbb{F}_q$  satisfy condition (ii). If (i) is false, then there is a simple  $B/\mathbb{F}_q$  with  $B \otimes \mathbb{F}_{q^2} \sim E^2$ , and then  $B \sim \text{Res}(E)$  by Proposition 17 because  $\dim(B) = \dim(\text{Res}(E)) = 2$ . Thus  $\text{Res}(E)$  is simple. On the other hand, since  $A \otimes \mathbb{F}_{q^2} \sim E^2$ , then as in the proof of Proposition 17, there exists  $h : A \rightarrow \text{Res}(E)$ ,  $h \neq 0$ . Since  $\text{Res}(E)$  is simple, it follows that  $h$  is surjective, and so  $A \sim \text{Res}(E)$ . Thus  $A$  is also simple, contradiction.

This proves the equivalence of conditions (i) – (iv), and so by the equivalence of (i) and (iii) we see that there is a simple  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^2} \sim E^2$  if and only if  $\text{Res}(E)$  is simple. If this is the case, then by Proposition 17 we know that  $A$  is isogenous to a factor of  $\text{Res}(E)$ , and so  $A \sim \text{Res}(E)$  because  $\dim(A) = \dim(\text{Res}(E)) = 2$ . Thus  $h_A(X) = h_{\text{Res}(A)}(X) = h_E(X^2)$ .

**Remark 20.** If condition (iv) of Corollary 19 holds, then there are (at most) 3 possibilities for the isogeny class of an abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^2} \sim E^2$ : either  $A \sim \text{Res}(E)$  or  $A \sim E_0^2$  or  $A \sim (E_0^\times)^2$ , where  $E_0^\times/\mathbb{F}_q$  denotes the non-trivial quadratic twist of  $E_0/\mathbb{F}_q$  (given by (iv)). Thus

$$(31) \quad h_A(X) = h_E(X^2) \quad \text{or} \quad h_A(X) = h_{E_0}(X)^2 \quad \text{or} \quad h_A(X) = h_{E_0^\times}(X)^2.$$

**Corollary 21.** Let  $A/\mathbb{F}_q$  be an abelian surface such that  $A \otimes \mathbb{F}_{q^n} \sim E^2$ , for some elliptic curve  $E/\mathbb{F}_{q^n}$ . If  $n = 2m$  is even, then  $A \otimes \mathbb{F}_{q^m}$  splits if and only if there is an elliptic curve  $E_0/\mathbb{F}_{q^m}$  with  $E_0 \otimes \mathbb{F}_{q^n} \sim E$ .

*Proof.* Put  $A' = A \otimes \mathbb{F}_{q^m}$ . Since  $A' \otimes \mathbb{F}_{q^n} \sim E^2$ , the assertion follows from Corollary 19 (applied to  $A'/\mathbb{F}_{q^m}$ ).

By using the above proposition, we can analyze the isogeny structure of  $\text{Res}(E)$ . For this, it is useful to introduce the following notation.

**Notation.** If  $A/\mathbb{F}_q$  is an abelian variety, then let  $A^\times$  denote the non-trivial quadratic twist of  $A$ . Thus,  $A^\times \not\sim A$  but  $A^\times \otimes \mathbb{F}_{q^2} \simeq A \otimes \mathbb{F}_{q^2}$ . Moreover,  $h_{A^\times}(X) = h_A(-X)$ , so if  $\dim A = 2$  then  $A^\times \sim A$  if and only if  $\text{tr}_A = 0$ .

**Proposition 22.** Let  $E/\mathbb{F}_{q^n}$  be an elliptic curve and suppose there is a simple abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^n} \sim E^2$ . Then the Weil restriction  $\text{Res}(E) = \text{Res}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(E)$  of  $E$  has the following structure.

(a) If  $n = 2$ , then  $\text{Res}(E) \sim A \sim A^\times$ .

(b) If  $n = 3$ , then  $\text{Res}(E) \sim A \times E_0$ , where  $E_0/\mathbb{F}_q$  is an elliptic curve with  $E_0 \otimes \mathbb{F}_{q^3} \sim E$ .

(c) If  $n = 4$  and  $A^\times \not\sim A$ , then  $\text{Res}(E) \sim A \times A^\times$ .

(c) If  $n = 6$  and  $A^\times \not\sim A$ , then  $\text{Res}(E) \sim A \times A^\times \times \text{Res}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(E_0)$ , where  $E_0/\mathbb{F}_{q^2}$  is an elliptic curve with  $E_0 \otimes \mathbb{F}_{q^6} \sim E$ .

*Proof.*(a) By Corollary 19 we know that  $A \sim \text{Res}(E)$ . Moreover, since  $A^\times$  is also simple, and since  $A^\times \otimes \mathbb{F}_{q^2} \simeq A \otimes \mathbb{F}_{q^2} \sim E^2$ , it also follows that  $A^\times \sim \text{Res}(E)$ .

(b) By Proposition 17 (and Poincaré's Complete Reducibility Theorem) we know that  $\text{Res}(E) \sim A \times A'$ , for some abelian variety  $A'/\mathbb{F}_q$ . Since  $\dim A' = \dim(\text{Res}(E)) - \dim A = 1$ , we see that  $A' = E_0$  is an elliptic curve. Moreover, since  $0 \neq \text{Hom}(E_0, \text{Res}(E)) = \text{Hom}(E_0 \otimes \mathbb{F}_{q^3}, E)$  by (29), we have that  $E_0 \otimes \mathbb{F}_{q^3} \sim E$ .

(c) By Proposition 17 we know that  $\text{Res}(E)$  has two abelian subvarieties  $B$  and  $B'$  with  $B \sim A$  and  $B' \sim A^\times$ . Since  $B \neq B'$  and  $B$  is simple, we see that  $\dim(B+B') = 4$ . Thus  $\text{Res}(E) = B + B' \sim A \times A^\times$ .

(d) Put  $R = \text{Res}_{\mathbb{F}_{q^6}/\mathbb{F}_{q^2}}(E)$ . Then  $\text{Res}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(R) = \text{Res}(E)$ , so  $\text{Hom}(A \otimes \mathbb{F}_{q^2}, R) \simeq \text{Hom}(A, \text{Res}(E)) \simeq \text{Hom}(A \otimes \mathbb{F}_{q^6}, E) \neq 0$ . Thus  $R$  is not simple, and hence  $R \sim A' \otimes E_0$ , for some elliptic curve  $E_0/\mathbb{F}_{q^2}$  and some (possibly split) abelian surface  $A'/\mathbb{F}_{q^2}$ . Note that by the same argument as in (b) we have that  $E_0 \otimes \mathbb{F}_{q^6} \sim E$ .

Thus,  $\text{Res}(E) \sim A_0 \times R_0$ , where  $A_0 := \text{Res}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(A')$  and  $R_0 := \text{Res}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(E_0)$ . We claim that  $\text{Hom}(A, R_0) = 0$ . If not, then  $A \sim R_0$  because  $A$  is simple and then  $A \otimes \mathbb{F}_{q^2} \sim R_0 \otimes \mathbb{F}_{q^2} \sim E_0^2$ , the latter by Remark 18. But then by part (a) we have  $A \sim A^\times$ , contradiction. Thus,  $\text{Hom}(A, R_0) = 0$  and hence  $A$  is a factor of  $A_0$  because  $A$  is a (simple) factor of  $\text{Res}(E)$  by Proposition 17. Similarly,  $A^\times$  is a factor of  $A_0$ . Since  $A$  and  $A^\times$  are non-isogenous and simple, it follows that  $A_0 \sim A \times A^\times$  and hence  $\text{Res}(E)$  has the asserted form.

**Corollary 23.** *Let  $A_1$  and  $A_2/\mathbb{F}_q$  be two simple abelian surfaces with  $A_i \otimes \mathbb{F}_{q^n} \sim E^2$ . If  $n = 2$  or  $n = 3$ , then  $A_1 \sim A_2$ . If  $n = 4$  or  $n = 6$  and  $A_i^\times \not\sim A_i$  for  $i = 1, 2$ , then  $A_1 \sim A_2$  or  $A_1 \sim A_2^\times$ .*

*Proof.* If  $n = 2$ , then Proposition 22(a) applied to  $A_i$  gives  $A_i \sim \text{Res}(E)$  and so  $A_1 \sim A_2$ . For  $n = 3$  we have by Proposition 22(b) that  $A_i \times E_i \sim \text{Res}(E)$  for some elliptic curves  $E_1, E_2$  and so  $A_1 \sim A_2$  and  $E_1 \sim E_2$ . For  $n = 4$  we have by Proposition 22(c) that  $A_i \times A_i^\times \sim \text{Res}(E)$  and so either  $A_1 \sim A_2$  or  $A_1 \sim A_2^\times$ . Finally, for  $n = 6$  we obtain from Proposition 22(d) that  $A_i \times A_i^\times \times R_i \sim \text{Res}(E)$ , where  $R_i = \text{Res}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(E_i)$  for some elliptic curve  $E_i/\mathbb{F}_{q^2}$ . Now by the same argument as in the proof of Proposition 22(d) we see that  $\text{Hom}(A_1, R_2) = 0$ , so  $A_1 \sim A_2$  or  $A_1 \sim A_2^\times$ .

Note that the above results also apply in the case that  $E$  is supersingular. However, if we impose the extra condition that  $E$  is ordinary, then we can use the results of the previous section to say more. We begin with the following observation.

**Proposition 24.** *If  $A/\mathbb{F}_q$  is a simple ordinary abelian surface, then  $A \otimes \mathbb{F}_{q^2}$  splits if and only if  $A^\chi \sim A$ .*

*Proof.* As was mentioned above, we have  $A^\chi \sim A \Leftrightarrow h_A(-X) = h_A(X) \Leftrightarrow \text{tr}_A = 0$ . By Theorem 1 this is equivalent to the condition that  $A \otimes \mathbb{F}_{q^2}$  splits.

Next we observe that Corollary 19 can be refined in the ordinary case as follows.

**Proposition 25.** *If  $E/\mathbb{F}_{q^2}$  is an ordinary elliptic curve, then the conditions (i) – (iv) of Corollary 19 are equivalent to the following two conditions:*

- (v)  $\text{Res}(E) \sim E_0 \times E_0^\chi$ , for some elliptic curve  $E_0/\mathbb{F}_q$ ;
- (vi)  $2q + \text{tr}_E$  is a square in  $\mathbb{Z}$ .

*In particular, there is a simple abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^2} \sim E^2$  if and only if  $2q + \text{tr}_E$  is not a square.*

*Proof.* Since conditions (i) – (iv) are equivalent by Corollary 19, it is enough to verify the implications (i)  $\Rightarrow$  (vi)  $\Rightarrow$  (iv)  $\Rightarrow$  (v)  $\Rightarrow$  (iii).

(i)  $\Rightarrow$  (vi): Suppose that  $2q + \text{tr}_E$  is not a square. Then also  $8q - 4u$  is not a square (where  $u = -\text{tr}_E$ ) and so by Theorem 2 (with  $n = 2$ ) there is a simple abelian surface with  $A \otimes \mathbb{F}_{q^2} \sim E^2$ , which contradicts the hypothesis (i).

(vi)  $\Rightarrow$  (iv): By hypothesis, there is a  $u_0 \in \mathbb{Z}$  such that  $2q + \text{tr}_E = u_0^2$ . Since  $E$  is ordinary, we have  $(\text{tr}_E, q) = 1$  and hence also  $(u_0, q) = 1$ . Moreover, since  $|\text{tr}_E| \leq 2\sqrt{q^2} = 2q$  by Hasse's Theorem, we have that  $u_0^2 = 2q + \text{tr}_E \leq 4q$ , i.e.  $|u_0| \leq 2\sqrt{q}$ . By Tate-Honda (cf. Theorem 4.1(1) of [11]), there is an elliptic curve  $E_0/\mathbb{F}_q$  such that  $h_{E_0}(X) = X^2 - u_0X + q$ . Then  $h_{E_0 \otimes \mathbb{F}_{q^2}}(X) = X^2 - (u_0^2 - 2q)X + q^2 = h_E(X)$ , and so  $E \sim E_0 \otimes \mathbb{F}_{q^2}$  by Tate's Theorem. This proves (iv).

(iv)  $\Rightarrow$  (v): Since  $\text{Hom}(E_0, \text{Res}(E)) \simeq \text{Hom}(E_0 \otimes \mathbb{F}_{q^2}, E) \neq 0$ , we see that  $E_0$  is a factor of  $\text{Res}(E)$ , and similarly  $E_0^\chi$  is a factor. Since  $E_0$  is ordinary, we have  $E_0 \not\sim E_0^\chi$ , and so by a similar argument as in the proof of Proposition 22(c) we have  $\text{Res}(E) \sim E_0 \times E_0^\chi$ .

(v)  $\Rightarrow$  (iii): Trivial.

For the case  $n = 3$ , an analogue of (the last assertion of) Proposition 25 is the following.

**Proposition 26.** *If  $E/\mathbb{F}_{q^3}$  is an ordinary elliptic curve, then the following conditions are equivalent:*

- (i) *There is a simple abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^3} \sim E^2$ ;*
- (ii) *there is an elliptic curve  $E_0/\mathbb{F}_q$  with  $E_0 \otimes \mathbb{F}_{q^3} \sim E$  such that  $12q - 3(\text{tr}_{E_0})^2$  is not a square in  $\mathbb{Z}$ ;*
- (iii) *there is a  $t \in \mathbb{Z}$  such that  $t^3 - 3qt + \text{tr}_E = 0$  and  $12q - 3t^2$  is not a square in  $\mathbb{Z}$ .*

If these conditions hold, then  $t = -\operatorname{tr}_{E_0} = \operatorname{tr}_A$  and

$$(32) \quad h_A(X) = X^4 - tX^3 + (t^2 - q)X^2 - tqX + q^2.$$

*Proof.* (iii)  $\Rightarrow$  (i): This follows immediately from Theorem 2. Note that by Theorem 15 we have that  $h_A(X)$  has the form (32) where  $t$  is a solution of  $\operatorname{tr}_E = -u = 3qt - t^3$ .

(i)  $\Rightarrow$  (ii): By Proposition 22(b) we know that there exists an elliptic curve  $E_0/\mathbb{F}_q$  such that  $E_0 \otimes \mathbb{F}_{q^3} \sim E$ . Thus  $\operatorname{tr}_E = \operatorname{tr}_{E_0}^3 - 3\operatorname{tr}_{E_0}$ . On the other hand, if  $t = \operatorname{tr}_A$  and  $s = s_A$ , by Theorem 1 (with  $n = 3$ ) and Corollary 7 we have that  $s = t^2 - q$  and  $\operatorname{tr}_E = 3qt - t^3$ , and that  $\Delta_A = t^2 - 4s + 8q = 12q - 3t^2$  is not a square in  $\mathbb{Z}$ . Thus,  $t$  is a root of  $f(X) = X^3 - 3qX + \operatorname{tr}_E$ , and so  $f(X) = (X - t)g(X)$  with  $g(X) = X^2 + tX + (t^2 - 3q)$ . Now  $g(X)$  does not have any rational roots because its discriminant is  $\operatorname{disc}(g) = t^2 - 4(t^2 - 3q) = \Delta_A$ , which is not a square, and so  $f(X)$  has only one rational root. Thus, since  $f(-\operatorname{tr}_{E_0}) = 0$ , it follows that  $t = -\operatorname{tr}_{E_0}$ , and so  $\Delta_A = 12q - \operatorname{tr}_{E_0}^2$  is not a square, as asserted.

(ii)  $\Rightarrow$  (iii): Since  $E_0 \otimes \mathbb{F}_{q^3} \sim E$ , we have  $\operatorname{tr}_E = \operatorname{tr}_{E_0}^3 - 3\operatorname{tr}_{E_0}$  and so we can take  $t = -\operatorname{tr}_{E_0}$ .

For  $n = 4$  we have the following analogue of the above results.

**Proposition 27.** *If  $E/\mathbb{F}_{q^4}$  is an ordinary elliptic curve, then the following conditions are equivalent:*

- (i) *There is an abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^4} \sim E^2$  such that  $A \otimes \mathbb{F}_{q^2}$  is simple;*
- (ii) *there is an elliptic curve  $E_0/\mathbb{F}_{q^2}$  with  $E_0 \otimes \mathbb{F}_{q^4} \sim E^\times$  such that  $4q + 2\operatorname{tr}_{E_0}$  is a square but  $4q - 2\operatorname{tr}_{E_0}$  is not a square in  $\mathbb{Z}$ ;*
- (iii) *there is a  $t_0 \in \mathbb{Z}$  such that  $2q^2 - \operatorname{tr}_E = t_0^2$  and such that  $4q + 2t_0$  is a square but  $4q - 2t_0$  is not a square in  $\mathbb{Z}$ .*

*If these conditions hold, then for any abelian surface  $B/\mathbb{F}_q$  with  $B \otimes \mathbb{F}_{q^4} \sim E^2$  we have that either  $B \sim A$  or  $B \sim A^\times$  and that*

$$(33) \quad h_B(X) = h_A(\pm X) = X^4 \pm tX^3 + (2q + \operatorname{tr}_{E_0})X^2 \pm tqX + q^2,$$

where  $t^2 = 4q + 2\operatorname{tr}_{E_0} = 4q + 2t_0$ .

*Proof.* (i)  $\Rightarrow$  (iii): Let  $A/\mathbb{F}_q$  be given, and put  $t = \operatorname{tr}_A$  and  $s = s_A$ . Then by Theorem 1 we know that  $s = \frac{t^2}{2}$  and that  $-\operatorname{tr}_E = t_0^2 - 2q^2$  where  $t_0 := \frac{t^2}{2} - 2q = t - 2q$ . Thus  $2t_0 + 4q = 2s = t^2$  is a square. Moreover,  $\Delta_A = t^2 - 4s + 8q = -t^2 + 8q = -2t_0^2 + 4q$  is not a square by Corollary 7, so (iii) holds.

(iii)  $\Rightarrow$  (ii): Since  $|\operatorname{tr}_E| \leq 2q^2$  by Hasse's Theorem, we see that  $t_0^2 \leq 4q^2$  and so  $|t_0| \leq 2q$ . Since  $(t_0, q) = (t, q) = 1$ , we have by Tate-Honda (cf. [11], Theorem 4.1(1)) that there is an elliptic curve  $E_0/\mathbb{F}_{q^2}$  such that  $\operatorname{tr}_{E_0} = t_0$ . Since  $\operatorname{tr}_{E_0 \otimes \mathbb{F}_{q^4}} = t_0^2 - 2q^2 = -\operatorname{tr}_E = \operatorname{tr}_{E^\times}$ , it follows that  $E_0 \otimes \mathbb{F}_{q^4} \sim E^\times$ , and so (ii) holds.

(ii)  $\Rightarrow$  (i): By hypothesis,  $4q + 2 \operatorname{tr}_{E_0} = t^2$ , for some  $t \in \mathbb{Z}$ , so  $t_0 := \operatorname{tr}_{E_0} = \frac{t^2}{2} - 2q$ . Since  $E_0 \otimes \mathbb{F}_{q^4} \sim E^x$ , we have  $t_0^2 - 2q^2 = \operatorname{tr}_{E^x} = -\operatorname{tr}_E$ , so  $-\operatorname{tr}_E = t_0^2 - 2q^2 = (2q - \frac{t}{2})^2 - 2q^2$ . It thus follows from Theorem 2 that there is an abelian surface  $A/\mathbb{F}_q$  with  $A \otimes \mathbb{F}_{q^4} \sim E^2$  with  $\operatorname{tr}_A = t$ . Furthermore, since  $\Delta_A = 8q - t^2 = 4q - 2t_0$  is not a square by hypothesis, we know by Corollary 7 that  $A$  is simple.

Thus, conditions (i) – (iii) are equivalent. If  $B/\mathbb{F}_q$  satisfies  $B \otimes \mathbb{F}_{q^4} \sim E^2$ , then by Corollary 19 (applied to  $A \otimes \mathbb{F}_{q^2}$ ) we know that  $B \otimes \mathbb{F}_{q^2}$  is simple and so  $B$  is simple and  $B^x \not\sim B$  by Proposition 24. Thus  $B \sim A$  or  $B \sim A^x$  by Corollary 23. Thus  $h_B(X) = h_A(\pm X)$ , and so formula (33) follows from what was shown in the proof of the implication (i)  $\Rightarrow$  (iii).

## 5 Application to the Legendre/Satoh Jacobians

In his recent paper, Satoh[10] proposed to study genus 2 curves of the form

$$(34) \quad C_{u,v} : \quad y^2 = x^5 + ux^3 + vx,$$

where  $u, v \in \mathbb{F}_q$ ; these curves generalize those of [4], where it is assumed that  $u = 0$ . (Here, as in [10], we assume that  $(q, 2) = 1$ .) Note that  $C_{u,v}$  is a genus 2 curve if and only if  $x^5 + ux^3 + vx$  has five distinct roots over  $\overline{\mathbb{F}}_q$ ; this is the case if and only if  $v \neq 0$  and  $u^2 - 4v \neq 0$ , which we assume henceforth. Note also that

$$C_{u',v'} \simeq C_{u,v}, \quad \text{if } u' = c^4u \text{ and } v' = c^8v, \text{ for some } c \in \mathbb{F}_q^\times,$$

because the substitution  $x' = c^2x$  and  $y' = c^5y$  transforms  $C_{u,v}$  into  $C_{u',v'}$ .

It is interesting to observe that if  $u = -(1+v)$ , then  $C_{u,v}$  has the form

$$C_v := C_{-1-v,v} : \quad y^2 = x(x^2 - 1)(x^2 - v)$$

which is precisely the family of curves which were studied by Legendre in 1832: these were the first known examples of curves whose Jacobians are split (over the ground field  $\mathbb{C}$ ); cf. Krazer[9], p. 477. Note that the family of curves  $C_v$  is *geometrically* the same as the family  $C_{u,v}$  because we have

$$C_{u,v} \otimes \mathbb{F}_{q^8} \simeq C_{c^8v}, \quad \text{where } c \in \mathbb{F}_{q^8} \text{ satisfies } c^8v + c^4u + 1 = 0.$$

We thus refer to the curves  $C_{u,v}$  as *Legendre/Satoh curves*.

Fix  $\sigma \in \mathbb{F}_{q^4}^\times$  such that  $\sigma^4 = v$ , and (as in [10]) let

$$x^4 + ux^2 + v = (x^2 - \alpha^2)(x^2 - \beta^2)$$

be the factorization of  $x^4 + ux^2 + v$  in  $\mathbb{F}_{q^4}$ , so  $\alpha^2 + \beta^2 = -u$  and  $(\alpha\beta)^2 = v$ . Thus  $\sigma^2 = \pm\alpha\beta$ , and so by replacing  $\beta$  by  $-\beta$  if necessary, we can assume that  $\sigma^2 = \alpha\beta$ . Put

$$(35) \quad \gamma = 2\frac{u - 6\sigma^2}{u + 2\sigma^2} \in \mathbb{F}_{q^2} \quad \text{and} \quad \chi = -\frac{(\alpha - \beta)^2}{64\sigma^3} = \frac{u - 2\sigma^2}{64\sigma^3} \in \mathbb{F}_{q^4},$$

and consider the elliptic curves

$$E_{u,v}/\mathbb{F}_{q^2} : y^2 = (x-1)(x^2 - \gamma x + 1) \quad \text{and} \quad E_\chi/\mathbb{F}_{q^4} : y^2 = \chi(x-1)(x^2 - \gamma x + 1).$$

Note that these are indeed elliptic curves because  $\gamma \neq \pm 2$  (for otherwise  $v = 0$  or  $u = 2\sigma^2$ , so  $u^2 = 4v$ ). In addition, we note that  $E_\chi$  is a quadratic twist of  $E_{u,v} \otimes \mathbb{F}_{q^4}$  because if we let  $c \in \mathbb{F}_{q^8}$  be such that  $c^2 = \chi$ , then the map  $(x, y) \mapsto (x, cy)$  defines an isomorphism between  $E_\chi \otimes \mathbb{F}_{q^8}$  and  $E_{u,v} \otimes \mathbb{F}_{q^8}$ . We also remark that a somewhat tedious computation shows that the  $j$ -invariant of  $E_{u,v}$  (and hence also of  $E_\chi$ ) is

$$(36) \quad j(E_{u,v}) = j(E_\chi) = 256 \frac{(\gamma + 1)^3}{\gamma + 2},$$

but we don't need this fact.

Let  $J_{u,v} = J_{C_{u,v}}$  denote the Jacobian of  $C_{u,v}/\mathbb{F}_q$ . By combining the above results with those of Satoh[10], we obtain the following result.

**Theorem 28.** (a) *The Jacobian  $J_{u,v}$  of  $C_{u,v}$  splits over a degree 4 extension of  $\mathbb{F}_q$ ; more precisely, we have*

$$J_{u,v} \otimes \mathbb{F}_{q^4} \sim E_\chi^2.$$

(b) *If either  $q \equiv 3 \pmod{4}$  or  $v$  is a square in  $\mathbb{F}_q^\times$ , then  $J_{u,v}$  splits over  $\mathbb{F}_{q^2}$ .*

(c) *Suppose that  $q \equiv 1 \pmod{4}$  and that  $v$  is not a square in  $\mathbb{F}_q^\times$ , and that  $E := E_{u,v}$  is ordinary. Then  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is simple if and only if precisely one of  $4q \pm 2 \operatorname{tr}_E$  is a square in  $\mathbb{Z}$ . If this is the case and if  $t \in \mathbb{Z}$  and  $\varepsilon = \pm 1$  are such that  $4q + \varepsilon \operatorname{tr}_E = t^2$ , then*

$$h_{J_{u,v}}(X) = X^4 \pm tX^3 + (2q + \varepsilon \operatorname{tr}_E)X^2 \pm tqX + q^2.$$

*Proof.* (a) This is proven in [10], p. 541.

(b) We first observe that

$$(37) \quad \chi \in (\mathbb{F}_{q^4}^\times)^2 \iff \sigma \in (\mathbb{F}_{q^4}^\times)^2 \iff q \equiv 3 \pmod{4} \text{ or } v \in (\mathbb{F}_q^\times)^2.$$

Indeed, the first equivalence is clear from the definition (35) of  $\chi$  because  $-1 \in (\mathbb{F}_{q^4}^\times)^2$ . To prove the second, note that  $\sigma$  is a square in  $\mathbb{F}_{q^4}$  if and only if its norm  $N(\sigma) = N_{\mathbb{F}_{q^4}/\mathbb{F}_q}(\sigma) = \sigma^{1+q+q^2+q^3}$  is a square in  $\mathbb{F}_q^\times$ . Since  $1 + q + q^2 + q^3 \equiv 1 + q + 1 + q \equiv 2 + 2q \pmod{8}$ , we can write  $1 + q + q^2 + q^3 = 4k$  with  $k \in \mathbb{N}$  and so  $N(\sigma) = v^k$ . Furthermore,  $k$  is even if and only if  $q \equiv 3 \pmod{4}$  and so  $N(\sigma)$  is always a square in this case. If  $q \equiv 1 \pmod{4}$ , then  $k$  is odd, and so in this case  $N(\sigma) \in (F_q^\times)^2$  if and only if  $v \in (\mathbb{F}_q^\times)^2$ . This proves (37).

We thus see that the hypotheses of (b) imply that  $\chi \in (\mathbb{F}_{q^4}^\times)^2$ , and so  $E_\chi \simeq E_{u,v} \otimes \mathbb{F}_{q^4}$ . Thus, by part (a) we see that  $E_0 = E_{u,v}$  satisfies the hypothesis of Corollary 21 for  $m = 2$  and so  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is split.

(c) By (37) we know that  $\chi \notin (\mathbb{F}_{q^4}^\times)^2$ , and so  $E_\chi \simeq (E \otimes \mathbb{F}_{q^4})^\chi$ . Thus  $(E_\chi)^\chi \simeq E \otimes \mathbb{F}_{q^4}$ .

Suppose first that  $4q + 2 \operatorname{tr}_E$  is a square in  $\mathbb{Z}$  but  $4q - 2 \operatorname{tr}_E$  is not. Then in view of part(a) we see that  $E_0 := E$  satisfies the hypotheses of Proposition 27(ii), and so  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is simple by Proposition 27. Similarly, if  $4q - 2 \operatorname{tr}_E$  is a square in  $\mathbb{Z}$  but  $4q + 2 \operatorname{tr}_E$  is not, then  $E_0 := E^\chi$  satisfies the hypotheses of Proposition 27(ii) (because  $E^\chi \otimes \mathbb{F}_{q^4} \simeq E \otimes \mathbb{F}_{q^4}$  and  $\operatorname{tr}_{E^\chi} = -\operatorname{tr}_E$ ) and so  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is also simple in this case.

Conversely, suppose that  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is simple. Then by part (a) and Proposition 27 there is an elliptic curve  $E_0/\mathbb{F}_{q^2}$  such that  $E_0 \otimes \mathbb{F}_{q^4} \sim (E_\chi)^\chi$  with  $4q + 2 \operatorname{tr}_{E_0}$  a square and  $4q - 2 \operatorname{tr}_{E_0}$  a non-square. Now since  $(E_\chi)^\chi \simeq E \otimes \mathbb{F}_{q^2}$ , we see that either  $E_0 \sim E$  or  $E_0 \sim E^\chi$ , and so it follows that precisely one of  $4q \pm 2 \operatorname{tr}_E$  is a square, as claimed.

The last assertion follows directly from the last assertion of Proposition 27.

**Remark 29.** In the situation of Theorem 28(c), there are only a few cases for which the given condition fails to be true. Indeed, if this condition does not hold, then  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is not simple, and so by Theorem 28(a) and Proposition 25 we know that there exists  $t_0 \in \mathbb{Z}$  such that  $2q^2 + \operatorname{tr}_{E^\chi} = t_0^2$ . Since  $\operatorname{tr}_{E^\chi} = -t$ , where  $t := \operatorname{tr}_{E_{u,v}} \otimes \mathbb{F}_{q^4}$  and since  $t = t_1^2 - 2q^2$  where  $t_1 = \operatorname{tr}_{E_{u,v}}$ , we thus have that  $4q^2 = t_0^2 + t_1^2$ , so  $t_i = 2t'_i$  is even for  $i = 0, 1$ , and  $q^2 = (t'_0)^2 + (t'_1)^2$ . But this equation has at most  $4(2r + 1)$  solutions  $(t'_0, t'_1)$  if  $q = p^r$ . In particular, if  $r = 1$ , then there are only 8 possibilities for  $(t'_0, t'_1)$  (and hence for  $\operatorname{tr}_{E_{u,v}} = 2t'_1$ ) because the solutions  $(\pm q, 0)$  and  $(0, \pm q)$  can be discarded since  $E_\chi$  is not supersingular.

We can use the above theorem to obtain an “almost-deterministic” polynomial-time algorithm for computing the order  $|J_{u,v}(\mathbb{F}_q)| = h_{J_{u,v}}(1)$  of the group  $J_{u,v}(\mathbb{F}_q)$  of  $\mathbb{F}_q$ -rational points of the Jacobian of  $C_{u,v}$ . Here “almost-deterministic” means that we can compute two numbers  $\{m, n\}$  such that either  $|J_{u,v}(\mathbb{F}_q)| = m$  or  $|J_{u,v}(\mathbb{F}_q)| = n$  (and the other number is the order of  $J_{u,v}^\chi(\mathbb{F}_q)$ , where  $J_{u,v}^\chi$  is the quadratic twist of  $J_{u,v}$ ). Unfortunately, this algorithm cannot decide (without further information) which of the two numbers equals the order of  $J_{u,v}(\mathbb{F}_q)$ .

### Algorithm 30.

**Input:**  $u, v \in \mathbb{F}_q$  with  $v, u^2 - 4v \neq 0$ .

**Output:** The unordered pair  $J^* := \{|J_{u,v}(\mathbb{F}_q)|, |J_{u,v}^\chi(\mathbb{F}_q)|\}$ , if  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is simple and ordinary, and “Fail” otherwise.

**Steps:** 1. If either  $q \equiv 3 \pmod{4}$  or  $v$  is a square in  $\mathbb{F}_q^\times$ , then return “Fail”.

2. Let  $\theta$  be a root of  $X^2 - v$  in  $\mathbb{F}_{q^2}$ , and put  $\gamma = \frac{2u-6\theta}{u+2\theta} = \frac{2u^2+12v-8u\theta}{u^2-4v}$ . Apply a point counting algorithm (e.g. the SEA-algorithm) to the elliptic curve  $E/\mathbb{F}_{q^2}$  defined by the equation  $y^2 = (x-1)(x^2 - \gamma x + 1)$  to find  $t_0 := \operatorname{tr}_E$ . If  $(q, t_0) \neq 1$ , then return “Fail”.

3. If  $4q \pm 2t_0$  are both squares in  $\mathbb{Z}$  or if neither is a square, then return “Fail”.
4. Find  $t \in \mathbb{Z}$  and  $\varepsilon = \pm 1$  such that  $4q + \varepsilon t_0 = t^2$  and return

$$J^* = \{1 - t + (2q + \varepsilon t_0) - tq + q^2, 1 + t + (2q + \varepsilon t_0) + tq + q^2\}.$$

**Remark 31.** (a) It is easy to see that  $J_{u,v}^\chi$  is the Jacobian of the quadratic twist  $C_{u,v}^\chi$  of  $C_{u,v}$ . (Indeed, since  $\text{tr}_{J_{u,v}} = 1 + q - |C_{u,v}(\mathbb{F}_q)|$ , this follows from the fact that  $|C_{u,v}(\mathbb{F}_q)| + |C_{u,v}^\chi(\mathbb{F}_q)| = 2q + 2$ .) Thus, if  $v \notin (\mathbb{F}_q^\times)^2$ , then  $C_{u,v}^\chi$  is given by the equation  $y^2 = v^{-1}(x^5 + ux^3 + vx)$ , and so  $C_{u,v}^\chi \simeq C_{uv^2, v^5}$  and  $J_{u,v}^\chi \simeq J_{uv^2, v^5}$ .

(b) If the above algorithm “fails”, then either  $J_{u,v}$  is supersingular or  $J_{u,v} \otimes \mathbb{F}_{q^2}$  splits. In both these cases the curve  $C_{u,v}$  is considered to be cryptographically insecure by some authors (cf. [4]) and hence is uninteresting from a cryptographic viewpoint.

(c) If we want to know the order of  $|J_{u,v}(\mathbb{F}_q)|$  in all cases, then it is easy to modify the above algorithm to include the “degenerate cases” that  $J_{u,v}$  splits over  $\mathbb{F}_{q^2}$  by using the formulae (30) and (31) to give us a list of possible orders.

For example, if  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is split but  $J_{u,v}$  is simple and ordinary, then by (30) we have that  $|J_{u,v}(\mathbb{F}_q)| \in \{1 + q^2 - t_0, 1 + q^2 + t_0\}$ , where  $t_0^2 = \text{tr}_{E_\chi} + 2q^2$  and  $\text{tr}_{E_\chi} = \varepsilon(\text{tr}_{E_{u,v}}^2 - 2q^2)$  with  $\varepsilon = 1$  in the situation of Theorem 28(b) and  $\varepsilon = -1$  otherwise. On the other hand, if  $J_{u,v}$  splits over  $\mathbb{F}_q$ , then  $J_{u,v} \sim E_1 \times E_2$  with  $E_i \otimes \mathbb{F}_{q^4} \sim E_\chi$ . Thus, there are at most 4 isogeny classes of elliptic curves  $E_i$  with this property, and so  $J_{u,v}$  is isogenous to one of at most 10 product surfaces. We thus obtain a list of at most 10 possible orders for  $J_{u,v}(\mathbb{F}_q)$ .

(d) The most laborious step in the above algorithm is the point-counting algorithm (SEA-algorithm) applied to  $E_{u,v}/\mathbb{F}_{q^2}$ . Since this is a polynomial time algorithm, we see that Algorithm 30 is also of polynomial time.

Here we present some small numerical examples.

**Example 32.** (a)  $C_{1,2}/\mathbb{F}_{29}$ .

Here  $u = 1$ ,  $v = 2$  and  $q = p = 29$ . Note that  $C_{1,2}/\mathbb{F}_{29}$  is a genus 2 curve because  $u^2 - 4v \equiv -7 \pmod{29}$ .

Since  $p = 29 \equiv 1 \pmod{4}$  and  $\left(\frac{v}{p}\right) = -1$ , we pass step 1. Thus  $\mathbb{F}_{p^2} = \mathbb{F}_{29}(\theta)$ , where  $\theta^2 = v = 2$  and  $\gamma = 2\frac{1+12(2)-8\theta}{-7} = -3 - 6\theta$ , so  $E_{u,v}$  is given by  $y^2 = (x - 1)(x^2 + (3 + 6\theta)x + 1)$ . Applying a point counting algorithm to  $E_{u,v}/\mathbb{F}_{p^2}$ , we obtain that  $t_0 := \text{tr}_{E_{u,v}} = 8$ , which is coprime to 29. Since  $4p - 2t_0 = 100 = (\pm 10)^2$  and  $4p + 2t_0 = 132$ , we pass step 3 and hence we can take  $t = 10$  and  $\varepsilon = -1$  in step 4. Thus, we obtain that  $J^* = \{592, 1192\}$ .

In fact, a naive point count shows that  $|C_{1,2}(\mathbb{F}_{29})| = 1 + 29 - 10 = 20$ , so  $\text{tr}_{J_{1,2}} = 10$  and hence  $|J_{1,2}(\mathbb{F}_{29})| = 1192$ .

(b)  $C_{1,2}/\mathbb{F}_{37}$ .

Again  $u^3 - 4v \equiv -7 \pmod{37}$ , so  $C_{1,2}/\mathbb{F}_{37}$  is a genus 2 curve. Since  $p = 37 \equiv 1 \pmod{4}$  and  $\left(\frac{v}{p}\right) = -1$ , we pass step 1. Here  $\gamma = 2\frac{1+12(2)-8\theta}{-7} = 14 - 3\theta$ , and a point counting algorithm yields that  $t_0 := \text{tr}_{E_{u,v}} = -24$ . Since  $(t_0, p) = 1$ , we pass step 2. But  $4p + 2t_0 = 100 = 10^2$  and  $4p - 2t_0 = 196 = 14^2$  are both squares, so step 3 fails, and we conclude that  $J_{1,2} \otimes \mathbb{F}_{37^2}$  splits. Thus, the algorithm returns “Fail”.

(c) Satoh’s example[10]:  $C_{3,7}/\mathbb{F}_{509}$ .

Here  $u^2 - 4v \equiv -19 \pmod{509}$ , so  $C_{3,7}/\mathbb{F}_{509}$  is a genus 2 curve.

Since  $q = p = 509 \equiv 1 \pmod{4}$  and  $\left(\frac{7}{509}\right) = -1$ , we pass step 1. Thus  $\mathbb{F}_{p^2} = \mathbb{F}_p(\theta)$ , where  $\theta^2 = 7 \in \mathbb{F}_p$ , and  $\gamma = 2\frac{3^2+12(7)-8(3)\theta}{-19} = 17 - 185\theta$ . Applying a point counting algorithm to  $E_{u,v}/\mathbb{F}_{p^2}$  yields  $\text{tr}_{E_{u,v}} = -626$ , which is coprime to 509, so we pass step 2. Since  $4p + 2\text{tr}_{E_{u,v}} = 4 \cdot 509 + 2(-626) = (\pm 28)^2$  and  $4p - 2\text{tr}_{E_{u,v}} = 4 \cdot 509 - 2(-626) = 3288 \notin \mathbb{Z}^2$ , we pass step 3. Thus  $t = 28$  and  $\varepsilon := 1$  and so the algorithm returns  $J^* = \{245194, 273754\}$ .

Note that this agrees with two of the 4 values that are considered by Satoh[10] in his example on p. 546. More precisely, by applying the SEA algorithm to  $E_\chi/\mathbb{F}_{p^4}$ , Satoh obtains (by his algorithm) that  $|J_{3,7}(\mathbb{F}_{509})| \in \{274538, 273754, 245978, 245194\}$ . He discards the first three numbers because they do not have a sufficiently large prime divisor. He is thus left with  $245194 = 2 \cdot 122597$ , which he accepts because by a random point check he found a point  $P \in J_{3,7}(\mathbb{F}_{509})$  of order 122597.

We can use the above Algorithm 30 to obtain the following *deterministic polynomial time* variant of Satoh’s *probabilistic polynomial time* algorithm.

**Algorithm 33** (Variant of Satoh’s Algorithm).

**Input:** (i)  $u, v \in \mathbb{F}_q$  with  $v, u^2 - 4v \neq 0$ ;

(ii) a cofactor bound  $M < (\sqrt{q} - 1)^2$ ;

(iii) a subset  $D$  of  $J(\mathbb{F}_q)$  which generates a subgroup of order  $> M$ .

**Output:** The largest prime factor  $r$  of  $|J_{u,v}(\mathbb{F}_q)|$ , if  $r > |J_{u,v}(\mathbb{F}_q)|/M$ , and if  $J_{u,v} \otimes \mathbb{F}_{q^2}$  is simple and ordinary, and “Fail” otherwise.

**Steps:** 1.–4. See Algorithm 30.

5. Write  $J^* = \{n_1, n_2\}$ . For  $i = 1, 2$ , determine the largest divisor  $d_i | n_i$  with  $d_i \leq M$ . Put  $n'_i = n_i/d_i$ . If  $n'_i$  is prime and if there is a point  $P \in \langle D \rangle$  such that  $d_i P \neq 0$ , then return  $n'_i$ , otherwise return “Fail”.

**Remark 34.** (a) The above algorithm is slightly more restrictive than that of Satoh[10] because we exclude here the cryptographically uninteresting cases that  $J_{u,v}$  is supersingular or that  $J_{u,v} \otimes \mathbb{F}_{q^2}$  splits; cf. Remark 31(b). However, if these cases are of interest, then we can easily include them by using Remark 31(c). Note that since the condition on  $M$  automatically excludes the case that  $J_{u,v}$  splits (cf. [10], p. 537) we have to consider also in these cases only two possible values for  $|J_{u,v}(\mathbb{F}_q)|$ , as was explained in Remark 31(c).

(b) By the proof of Theorem 1 of Satoh[10], we see that the above algorithm computes the largest prime factor of  $J_{u,v}(\mathbb{F}_q)$  subject to the given conditions. We observe that the steps of Satoh's original algorithm which led to probabilistic polynomial time have been eliminated, and so we now have a deterministic polynomial time algorithm. Here we use the fact (due to Agrawal, Kayal and Saxena) that we can test the primality of  $n'_i$  in deterministic polynomial time.

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